



# Biodiesel from rice bran lipids: resource assessment and technological review

Alchris Woo Go<sup>1</sup> · Kristelle L. Quijote<sup>2</sup> · Ramelito C. Agapay<sup>2,3</sup> · Yi-Hsu Ju<sup>1,2,4</sup> · Artik Elisa Angkawijaya<sup>1</sup> · Shella Permatasari Santoso<sup>5</sup>

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## Abstract

The biodiesel (BD) industry struggles to compete with petroleum diesel because of its high cost, primarily attributed to the costs of raw material and production. Rice bran (RB) is an oleaginous agro-industrial residue which has been of interest as a feedstock for BD production. Although RB has been found to be a potential resource for various products of commercial interest, its current bulk but limited utilization is either as a source of edible oil or as an ingredient of feeds. A key challenge in processing lipids from rice bran is its significant free fatty acid content but is not necessarily a setback when utilized for biodiesel production. Despite the perceived potential, the sheer number of published researches, and even patented processes, there has been no quantitative assessment of the resource availability, and no commercialized process reported. In this work, a localized resource assessment with biodiesel yield estimates has been conducted to identify priority areas for adapting rice bran for biodiesel production. As leading paddy rice producers, Southern, Eastern, and Southeastern Asian regions were found to have potential in adapting RB as a biodiesel feedstock. Alongside this, detailed technological comparisons were described in this review, from lipid extraction, reaction systems or schemes, reactants, catalysts, reactor configurations and strategies, to emerging and patented technologies with economical and scalable potential. In addition, opportunities in (RB) processing and related government policies, foreseen impacts on economics, social, and environmental aspects, as well as existing challenges, were identified and discussed for future prospects and developments.

**Keywords** Biodiesel · Lipids · Resource assessment · Rice bran · (Trans)esterification

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Alchris Woo Go and Kristelle L. Quijote contributed equally to this work.

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✉ Alchris Woo Go  
awgo@mail.ntust.edu.tw

<sup>1</sup> Graduate Institute of Applied Science and Technology, National Taiwan University of Science and Technology, Keelung Road, 10607, Taipei City, Da'an District, Taipei City, Taiwan

<sup>2</sup> Department of Chemical Engineering, National Taiwan University of Science and Technology, Keelung Road, 10607, Taipei City, Da'an District, Taipei City, Taiwan

<sup>3</sup> Department of Chemical Engineering, University of San Carlos – Talamaban Campus, Gov. Cuenco Avenue, Nasipit Talamaban, 6000 Cebu City, Philippines

<sup>4</sup> Taiwan Building Technology Center, National Taiwan University of Science and Technology, Keelung Road, 10607, Taipei City, Da'an District, Taipei City, Taiwan

<sup>5</sup> Department of Chemical Engineering, Widya Mandala Surabaya Catholic University, Kalijudan 37, Surabaya 60114, Indonesia

## 1 Introduction

Rice bran (RB) is an agro-industrial residue generated during the processing of paddy rice (PR). It is comprised of the pericarp, aleurone, and germ of a brown rice kernel [1]. Typically, RB constitutes 8 to 10 wt% of the PR (~14 wt% moisture) subjected to milling [2]. The actual composition of rice bran generated in rice mills varies depending on the variety of rice, cultivation conditions, and the milling process adopted [3]. A characteristic of rice bran that makes it unique when compared to other non-oilseed agro-industrial residues is its significant lipid content. Depending on the milling process, lipids in industrially generated RB could range from 4 to 26 wt% [1, 3]. Strictly, RB contains 15 to 20 wt% lipids, with the germ containing as much as 16 to 39 wt% [3]. However, there are tendencies of actual RB generated in rice mills to be adulterated with husk and endosperm particles lowering the resulting bran's lipid content [1].

Rice bran lipids (RBL), in most cases referred to as rice bran oil (RBO), have found applications in food, nutraceuticals, pharmaceuticals, cosmetics, feed, and fuel [4–6]. The use of RBL in food applications as oil has a long history of over 100 years with origins from Japan [4]. Despite this, it continues to face stability challenges owing to indigenous lipases in the RB that cause rancidity of the lipids [5]. The practical refining yield of RBL to produce refined RBO is only around 50 to 70 wt% of the RBL [4]. This is because of the presence of relatively higher free fatty acid (FFA) content as the lipids become rancid and other impurities (gums, waxes, and unsaponifiable matter) found in the crude RBL, with the triglyceride content only at 81 to 84 wt% at most [7]. This is one of the reasons behind the limited use of refined RBO (primarily triglyceride) when compared to other commercialized vegetable oil in food applications [6]. The trade of RBO is generally limited within Asia with Thailand (32.4 Gg) as the reported sole exporter for the year 2017 and Japan (24.4 Gg) being the largest importer [8].

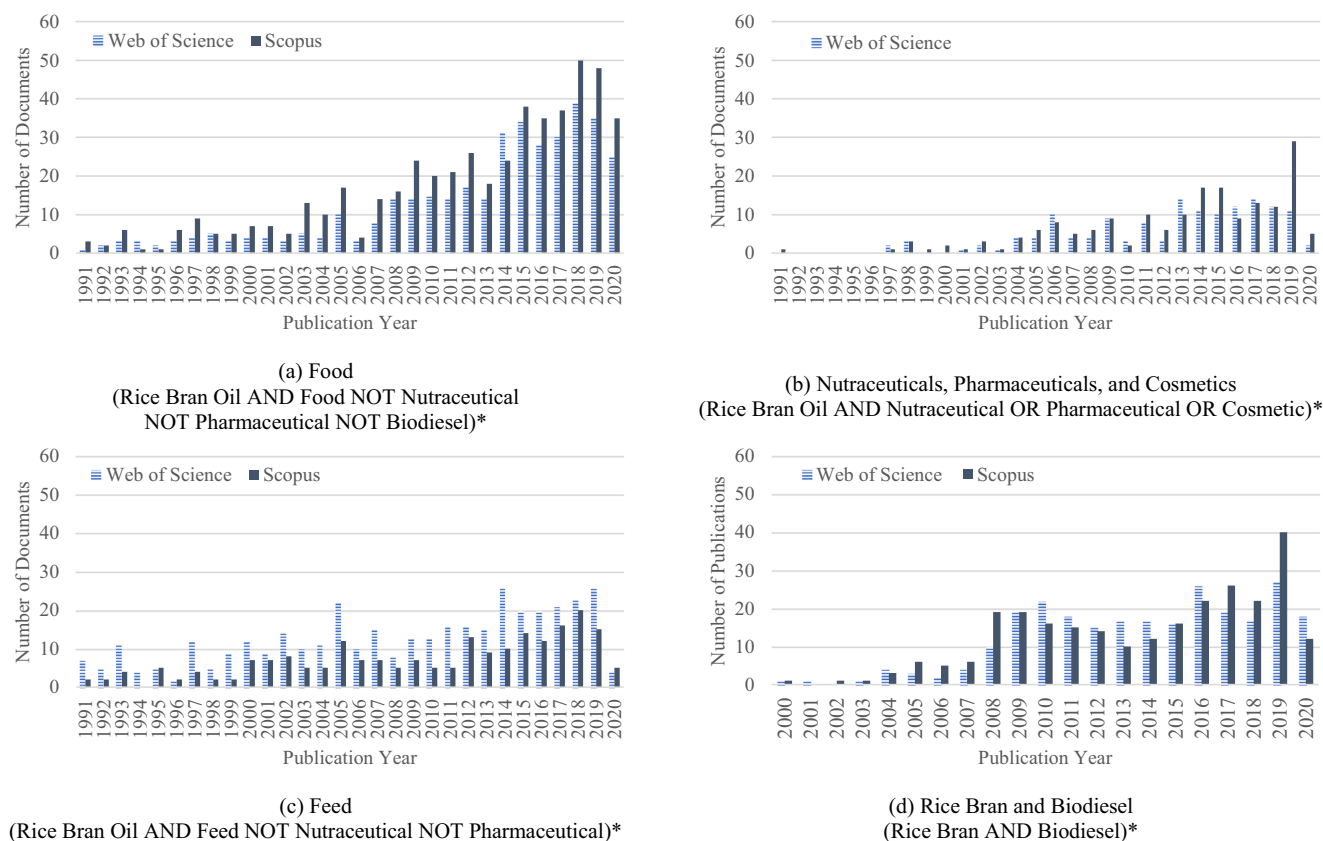
Rice bran lipids used to be processed mainly for applications in food. However, owing to the presence of phytosterols, tocopherols, tocotrienols, and oryzanols having good biological activities beneficial for human health, it is also widely explored for possible use as a component in nutraceuticals, pharmaceuticals, and cosmetics [5, 9, 10]. The active components are oftentimes recovered as by-products after the refining of crude RBL [6], potentially making their production dependent on the RBO industry. If processes would be focused on the recovery of these high-value products, it would not be practical to leave the bulk components (fatty acids and glycerides) of the RBL unused. Other applications of RBL are in the feed industry, providing similar benefits when used as food, whether as whole bran, its oil, or component lipids [11]. However, it also faces the same challenges in terms of stability of the lipids or dependency in the RBO industry if component lipids are to be tapped.

Documents on RBL processing for different applications date back to the 1960s or earlier, based on documents in Web of Science [12] and Scopus [13]. A summary of the document counts on related documents in the past 30 years is presented in Fig. 1. As could be observed, the number of publications on rice bran lipids in its respective application has been continuously increasing. Researches on the utilization of RBL as raw material for biodiesel production were prominent after 2000 (Fig. 1d). The number of documents has quickly caught up with other applications of RBL. Although the number of documents was not further filtered, and may not be the exact number of related published articles, it is indicative of the interest and the perceived need to pursue research and development in the processing and conversion of RBL to biodiesel (BD). Although FFA is also of concern in biodiesel production, it could still be converted into the desired fuel. Depending on the composition of the RBL, BD yield in the

form of fatty acid methyl ester (FAME) ranges from 85 to 93 kg per 100 kg of RBL [14–16], which is higher than refining of RBL to RBO. Moreover, depending on the process adopted, active components are still recoverable [17].

One of the earliest estimates reported regarding the potential amount of BD from RBL was in 2008. Ju and Rayat [18] estimated that top rice producers in Asia could support up to  $\sim 10 \text{ hm}^3$  (10 million cubic meters, MCM) of BD, which on average displaces 10% of these countries' petroleum diesel (PD) requirements during that period. However, these estimates may not anymore be true today. Moreover, the details on how the estimates were made and the assumptions behind the estimates are not provided. A more localized estimate was made by Gunawan et al. [19] in 2011 on the potential of RB as a source of biodiesel in Indonesia. It was estimated that  $\sim 96 \text{ Gg}$  of BD could be generated per year in Indonesia from an annual RB production of  $\sim 3$  to  $6.5 \text{ Tg}$  with a lipid content in the bran of  $\sim 14 \text{ wt}\%$  and a FAME yield of  $\sim 50\%$  via *in situ* transesterification (ISTE). Unfortunately, it is unclear as to how much the estimated potential could contribute to the PD demand in Indonesia and how it would fare in the current context. In 2013, Hasan et al. [20] looked into the prospects of biodiesel from RB in Bangladesh, considering PR production in the years 2008 to 2011. The estimated annual BD from RB between 0.91 and 0.98 Tg ( $\sim 1.03$  to  $1.11 \text{ hm}^3$ ) could meet up to 60 to 70% of the PD demand in Bangladesh. One main concern on the estimations made is the assumption that rice bran and husk constitute 20 wt% of the PR and that the combined residue contains 20 wt% lipid. These assumptions easily overestimate the potential BD from RB. Rice bran as a resource for biodiesel production in India was tackled by Sundar et al. [21] in 2019; however, there was neither clear quantitative basis nor estimates to support claims on the use of RB as a resource. In the Philippine context, Go et al. [22] provided estimates and projections of RB when used as a resource for biodiesel spanning from the years 2000 to 2040, and suggested that using RB as the sole resource could support the Philippine BD demand if the blending is to be kept at 2 vol.%. Although assumptions were provided, and relatively conservative estimates were made, reevaluation is necessary as the actual BD blending greatly depends on changing government policies and mandates.

The interest in using RB as a resource for BD production is mainly hinged on the following ideas: (i) abundance, availability, and underutilization of RB in rice-producing countries [19–22]; (ii) limited application in food and related industries due to poor stability of lipids in RB [17, 23]; (iii) poor economic competitiveness of resulting RBO, owing to costly stabilization methods and low refining yield [17]; and (iv) low-cost material compared to other resources and recovery of high-value by-



**Fig. 1** An estimate of the number of publications related to rice bran lipid or oil applications since 1991 as indexed in Web of Science [12] and Scopus [13] databases up to June 2020 (*number of documents indexed are not mutually exclusive*). \*Search term with Boolean operators.—To be printed in black and white. **a** Food (rice bran oil AND food NOT

nutraceutical NOT pharmaceutical NOT biodiesel)\*. **b** Nutraceuticals, pharmaceuticals, and cosmetics (rice bran oil AND nutraceutical OR pharmaceutical OR cosmetic)\*. **c** Feed (rice bran oil AND feed NOT nutraceutical NOT pharmaceutical)\*. **d** Rice bran and biodiesel (rice bran AND biodiesel)\*

products [17, 18, 20]. Although these ideas justify RB as an excellent BD resource, it has not proceeded to industrial scale production. Biodiesel has been successfully produced industrially from different edible (soybean, rapeseed, palm, and coconut) and non-edible (used/waste cooking oil) resources, although technologies and process parameters greatly differ. The first review on the different technologies to produce BD from RB has been done by Ju and Vali [17] in 2005, which covers the early developments in converting RBL to DB. A book chapter was later written by Ju and Rayat [18] in 2008, where advancements in RBL processing for BD were discussed, including *in situ* transesterification of RBL. The most recent book chapter by Zaidel et al. [23] in 2019 has further included the discussion of supercritical solvent technologies in RBL extraction and (trans)esterification covering developments from 2000 to 2010. The most recent review on BD derived from RBL by Hoang et al. [24] in 2021 has made brief discussions related to the synthesis of BD from RBL and focused on the later

use of the synthesized BD as fuel and related performance of the engine using such fuel. Other review papers on RBL processing mainly focused on RBL stabilization [25], extraction [6], refining [7], nutritional value [5, 9, 11], and its applications [4, 6]. However, past reviews on the technologies for BD production from RBL did not cover major developments from 2010 to 2020, which include subcritical solvent technologies and different approaches in maximizing the use of RB and RBL components during their processing and conversion to produce BD in a bio-refinery approach.

With the gaps observed from available literature, it is hoped that these will be addressed through a simple and practical assessment of resource availability, and detailed review of technologies in BD production from RB, to better understand the limitations of the practical utilization of RBL and the related state-of-the-art advancements in BD production and use. The main objectives of this assessment and review are to (i) determine the world production and distribution of PR & RB, and the potential biodiesel that could be produced from

available resources in prospective regions and countries; (ii) review the technologies and developments in the conversion of RBL to BD from 2000 to 2020 and its use as fuel; (iii) elucidate the main process parameters and responses influencing the conversion of RBL to BD and process assessment, respectively; and (iv) provide a brief overview of the foreseen social, economic, environmental, and government policy impacts or implications when utilizing RBL in DB production and its subsequent use.

## 2 Resource assessment and review methods

Statistical data on the production, supply, and trade from 1960 to 2018 or recent data available for PR, diesel, and biodiesel, as well as published researches on BD from RBL, were gathered from recognized international and government databases and reports. These databases and reports include the United States Department of Agriculture (USDA)—Foreign Agriculture Services (FAS) [8, 26], United Nations Data [27], Global Agricultural Information Network (GAIN) Report [28], Scopus [13], and Web of Science [12].

### 2.1 Estimating potential biodiesel from rice bran

Estimating the potential BD ( $PBD$ ) from rice bran lipids will be done by adopting Eq. (1). The available lipids ( $M_{RB} \times C_{Lipid}$ ), with the lipid content ( $C_{Lipid}$ ) taken to be  $0.15 \text{ w}\cdot\text{w}^{-1}$ , are multiplied by the recovery factor ( $f_{\text{recovery}} = 0.95$ ) and process yield ( $Y_{BD/Lipid}$ ), defined as the mass of BD produced per mass of the lipid processed, to estimate the amount of renewable diesel. The density of the desired renewable diesel ( $\rho_{BD}$ ) is then used to convert the available BD in terms of volumetric quantities. In most cases, in the production of FAME BD, the product of  $Y_{BD/Lipid}$  and  $\rho_{BD}^{-1}$  is generally taken to be  $1 \text{ hm}^3 \text{ BD}$  (or  $1 \text{ MCM}$ ) per Tg oil.

$$PBD = M_{RB} \times C_L \times f_{\text{recovery}} \times Y_{BD/Lipid} \times \rho_{BD}^{-1} \times f_A \times f_U \quad (1)$$

To estimate the mass of RB ( $M_{RB}$ ), Eq. (2) is used. Bran fraction ( $X_{Bran}$ ) of available PR ( $M_{PR}$ ) is taken to be at the minimum of  $0.08 \text{ w}\cdot\text{w}^{-1}$  with the moisture at  $0.14 \text{ w}\cdot\text{w}^{-1}$ . Milling ( $f_{ML}$ ) and logistic ( $f_{LL}$ ) fractional losses are taken to be 0.05, while availability ( $f_A$ ) and use ( $f_U$ ) factors were taken to be 1.

$$M_{RB} = M_{PR} \times X_{Bran} \times (1 - C_M) \times (1 - f_{ML}) \times (1 - f_{LL}) \times f_A \times f_U \quad (2)$$

### 2.2 Gathering of available literature and scope of review

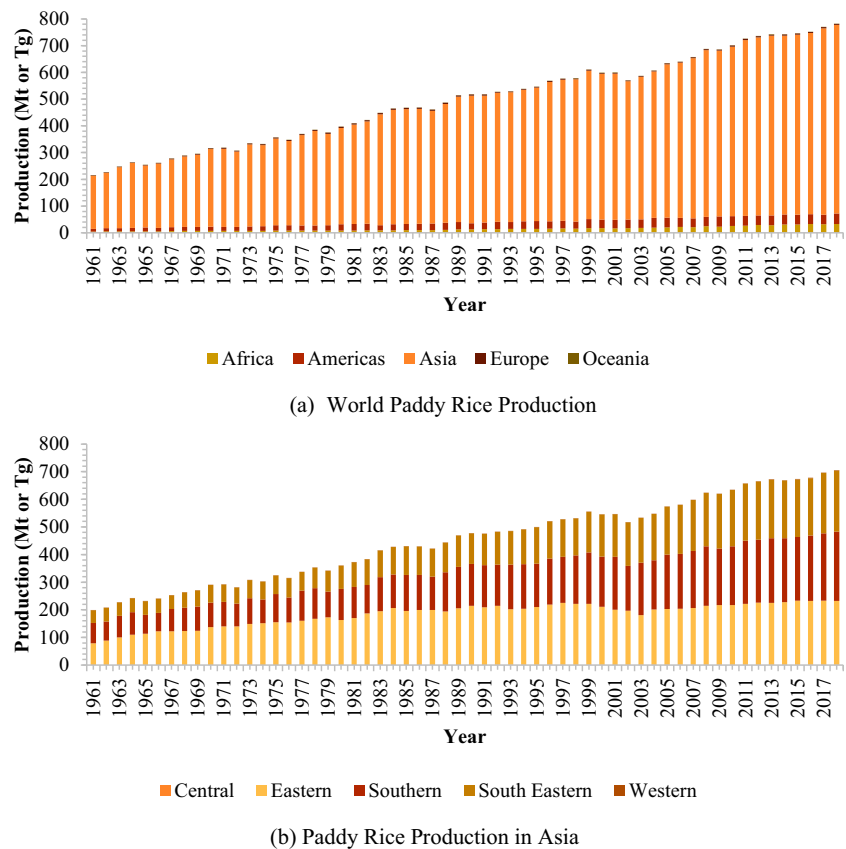
Prominent indexing sites Scopus, Web of Science, and Google Scholar were used in gathering related literature on BD from RB from the years 2000 to 2020. The scope of this review will be limited to and is sectioned according to the following areas: (i) characteristics of RBL, (ii) the potential of BD from RB, (iii) extraction and recovery of RBL, (iv) process options for BD production, (v) variables affecting BD production productivity, (vi) BD from RB as fuel, (vii) foreseen impacts of utilizing RBL as feedstock for BD production, and (viii) foreseen challenges and research opportunities.

## 3 Results and discussion

As have been mentioned, RB is a by-product during the milling of PR. Therefore, its production volume and availability is greatly dependent on the PR produced and processed. Considering that PR is traded and consumed after milling, local production of PR is indicative of their capacity and potential to produce RB. Shown in Figs. 2 and 3 are the PR production in different regions in the world from 1961 to 2018. As could be observed, PR production has generally been increasing in the past 6 decades (Fig. 2a). Compared to the production in 1961 (215.7 Tg), the production in 2018 (782 Tg) is  $\sim 3.6$  times as much, which on average translates to an annual increase of  $\sim 2\%$  (9.8 Tg per year) in the past 6 decades. The production of PR is consistently concentrated in Asia with an annual share of  $91.21 \pm 0.61\%$ , followed by America ( $5.15 \pm 0.38\%$ ) and Africa ( $2.78 \pm 0.68\%$ ). Within Asia, PR production is further distributed between Eastern ( $42.14 \pm 5.82\%$ ), Southern ( $32.09 \pm 2.33\%$ ), and Southeastern ( $25.57 \pm 3.98\%$ ) Asia (Fig. 2b).

The production of PR within the major sub-regions in Asia is generally increasing over time (Fig. 3a–c), except for the production in Eastern Asia (Fig. 3a) where there is an observed slowing down in production rate. Given the area harvested (Fig. 3d–f), there has been a decline in the harvested area in Eastern Asia (Fig. 3d), while there is a significant increase in the harvested area in Southern Asia (Fig. 3e) and Southeastern Asia (Fig. 3f) from 1961 to 2010. However, in the past 8 to 10 years, it could be observed that the area harvested in East ( $3.34 \pm 0.04 \times 10^5 \text{ km}^2$ ), South ( $6.11 \pm 0.11 \times 10^5 \text{ km}^2$ ), Southeast ( $4.88 \pm 0.11 \times 10^5 \text{ km}^2$ ) Asia has remained relatively the same. Although there is no significant increase in the harvested area in the past decade, the recorded production in PR still increased. This increase translates to improved yields (Fig. 3g–h) in PR over the year, which may be attributed to improvements in agricultural practice, rice species, and harvesting technology. Among the sub-regions

**Fig. 2** World annual paddy rice production by geographic regions from 1961 to 2018 (statistical data obtained from FAOSTAT [26]).—To be printed in black and white. a World paddy rice production. b Paddy rice production in Asia



in Asia, yields in Eastern Asia have reached as high as  $\sim 0.70 \text{ kg}\cdot\text{m}^{-2}$ , while yields in Southern and Southeastern Asia were only at  $\sim 0.43 \text{ kg}\cdot\text{m}^{-2}$ . The prediction of yields and production of crops like PR is not straight forward as it is influenced by various environmental factors, along with cultivation, and harvesting practices. However, the available data is indicative that PR yields in Southern and Southeastern Asia could still be improved. Regardless, having PR production concentrated in Eastern, Southern, and Southeastern Asia, the potential generation and advantageous utilization of RB would be in countries in these regions.

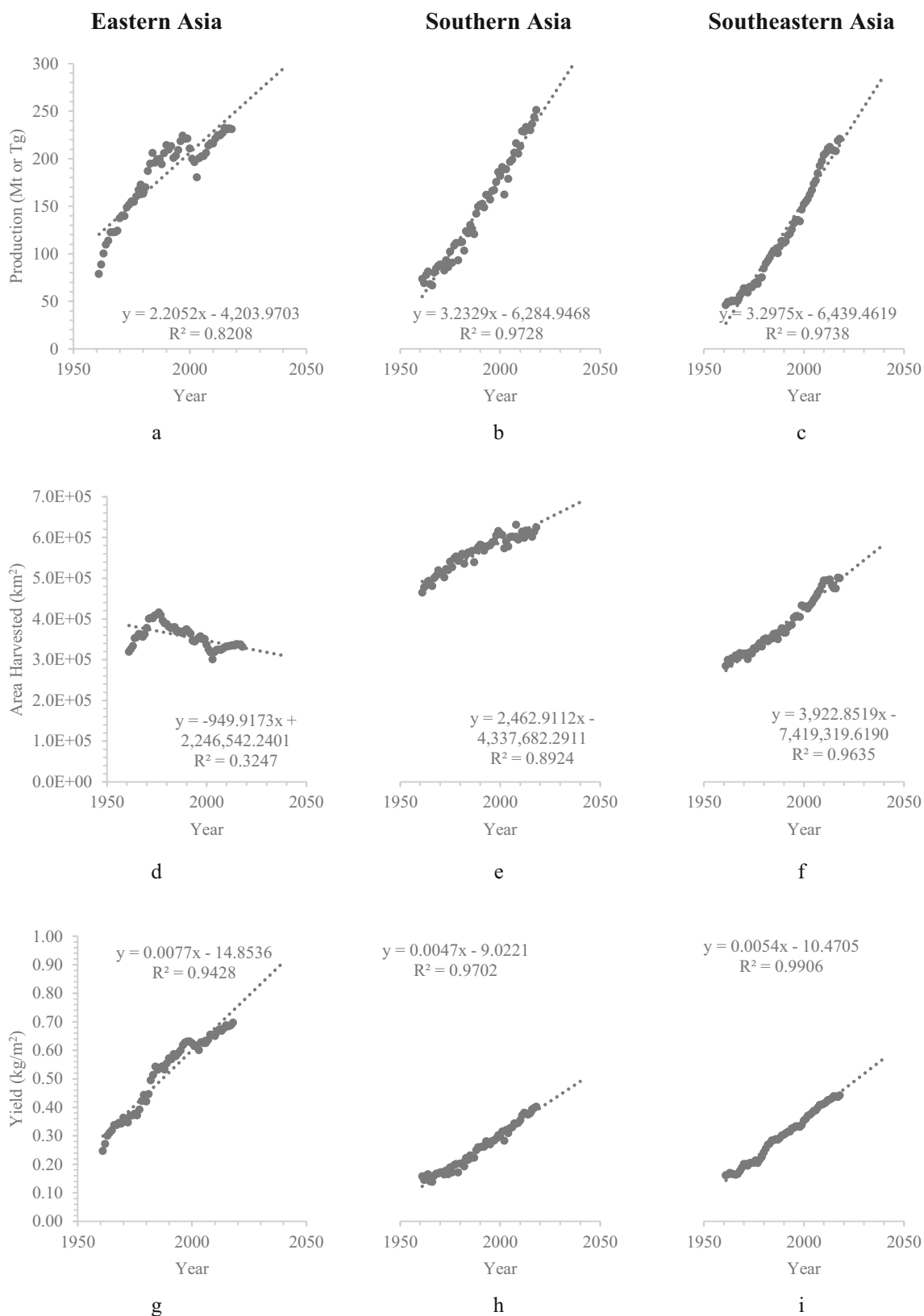
### 3.1 Characteristics of rice bran lipids and its derived FAME biodiesel

Milling of PR would require the grains to have a moisture content between 13 and 14 wt%, with the grain temperature not exceeding  $45 \text{ }^\circ\text{C}$  throughout the process, to achieve the best milling results [2]. Along the milling process, while the bran fraction (8 to 10 wt% [2]) is removed from the grain, the bran fractions are scored exposing the indigenous lipases with the storage lipids [3]. These milling conditions induce the environment for the hydrolysis of the lipids even after the milling process. The moisture content of 10 to 20 wt% and storage temperatures between 30 and  $50 \text{ }^\circ\text{C}$  result in the

increased hydrolytic activity of indigenous lipase whereby free fatty acid contents could increase from 6 to 14 wt% in the extracted lipids to as much as  $\sim 60 \text{ wt}\%$  in 2 months [14, 29, 30] and up to 82 wt% after 6 months of storage [14, 30]. The available extractable lipids in bran typically range from 14 to 19 wt% [31, 32]. Variability in RB's extractable lipids or total lipid content is mainly attributed to the variety of PR, and milling technique employed [1, 3]. However, the extractable lipids also decrease with prolonged storage of RB. Detailed analysis of its components revealed that the glycerol backbone released after complete hydrolysis of an acylglyceride (AG) was not anymore extractable by n-hexane resulting in a lesser amount of extractable lipids but the total fatty acids (TFA) extractable from RB remained the same [14]. Apart from FFAs and AGs, which comprise  $\sim 85 \text{ wt}\%$ , RBL also contains wax esters, phospholipids, and unsaponifiable matter. The components of RBL, excluding the unsaponifiable fraction, could be converted to biodiesel (alkyl esters). For each 100 g of RBL, there is 81 to 89 g TFA, translating to 85 to 93 g FAME [14–16].

Considering that biodiesel is composed of fatty acid alkyl esters of different fatty acid chain lengths and saturation, BD properties are greatly influenced by their distribution in the resulting fuel. Presented in Table 1 are distributions of fatty





**Fig. 3** Trends in annual paddy rice production (**a**, **b**, **c**), area harvested (**d**, **e**, **f**), and yields (**g**, **h**, **i**) in Eastern (**a**, **d**, **g**), Southern (**b**, **e**, **h**), and Southeastern (**c**, **f**, **i**) Asia (Statistical data obtained from FAOSTAT [26])

acids from RBL of different origins expressed as methyl esters and their estimated biodiesel properties. The three main fatty

**Table 1** Rice bran lipid fatty acid profile and corresponding biodiesel properties

Source	1	2	3	4	5	6	7	8	ASTM	EN
Reference	China	Taiwan	India	Indonesia	Thailand	Philippines	The U.S.	Brazil	D6751	14214
	[33]	[30]	[34]	[35]	[36]	[37]	[38]	[39]	[40]	
<b>Fatty Acid Profile</b>										
Lauric (C12:0)	3.3	0.2	0.1	0.1	-	-	-	-	n.a. <sup>a</sup>	n.a.
Myristic (C14:0)	-	0.8	1.0	0.4	-	0.3	-	-	n.a.	n.a.
Palmitic (C16:0)	26.5	17.7	15.0	20.9	18.3	13.7	11.2	18.7	n.a.	n.a.
Palmitoleic (C16:1)	-	0.2	-	0.3	-	0.1	-	-	n.a.	n.a.
Stearic (C18:0)	1.0	2.2	1.9	2.0	2.4	1.6	2.6	-	n.a.	n.a.
Oleic (C18:1)	32.9	40.6	42.5	42.5	41.4	35.4	32.9	43.4	n.a.	n.a.
Linoleic (C18:2)	31.1	35.6	39.1	32.2	37.9	46.9	45.9	37.9	n.a.	n.a.
Linolenic (C18:3)	4.7	1.8	1.1	1.2	-	1.5	3.1	-	n.a.	≤ 12
Arachidic (C20:0)	0.1	0.2	0.5	0.1	-	0.3	4.3	-	n.a.	n.a.
Eicosenoic (C20:1)	0.2	-	-	0.5	-	-	-	-	n.a.	n.a.
Behenic (C22:0)	-	0.3	0.2	-	-	0.2	-	-	n.a.	n.a.
Lignoceric (C24:0)	-	0.6	-	-	-	-	-	-	n.a.	n.a.
<b>Biodiesel Properties<sup>b</sup></b>										
Saturated Fatty Acids, SFA	30.90	22.00	18.70	23.50	20.70	16.10	18.10	18.70	n.a.	n.a.
Monounsaturated FA, MUFA	33.10	40.80	42.50	43.30	41.40	35.50	32.90	43.40	n.a.	n.a.
Polyunsaturated FA, PUFA	35.80	37.40	40.20	33.40	37.90	48.40	49.00	37.90	n.a.	n.a.
Degree of Unsaturation, DU	104.70	115.60	122.90	110.10	117.20	132.30	130.90	119.20	n.s. <sup>c</sup>	n.s.
Iodine Value, IV (g I <sub>2</sub> per 100 g)	98.95	106.12	112.05	100.54	105.88	120.99	121.21	107.68	n.s.	≤ 120
Saponification Value, SV (mg·g <sup>-1</sup> )	206.39	202.92	205.03	203.56	202.45	201.76	200.60	202.56	n.s.	n.s.
Cetane Number, CN	50.48	49.32	47.71	50.49	49.44	46.13	46.24	49.02	≥ 47	≥ 51
Density (kg·m <sup>-3</sup> )	870	870	880	870	870	870	870	870	n.s.	860–890
Kinematic Viscosity (mm <sup>2</sup> ·s)	3.63	3.78	3.82	3.78	3.74	3.67	3.74	3.74	1.9–6.0	3.5–5.0
Higher Heating Value (MJ·kg <sup>-1</sup> )	39.26	39.54	40.01	39.53	39.46	39.45	39.48	39.45	n.s.	n.s.
Long-Chain Saturated Factor	3.25	4.72	3.25	3.19	3.03	2.77	6.72	1.87	n.a.	n.a.
Cloud Point, CP (°C)	8.95	4.32	2.90	6.00	4.63	2.21	0.90	4.84	n.s.	n.s.
Pour Point, PP (°C)	2.89	-2.13	-3.68	-0.31	-1.79	-4.42	-5.85	-1.56	n.s.	n.s.
Cold Filter Plugging Point, CFPP (°C)	-6.27	-1.65	-6.27	-6.45	-6.96	-7.77	4.64	-10.60	n.s.	n.s.
Allylic Position Equivalents, APE	104.50	115.40	122.90	109.30	117.20	132.20	130.90	119.20	n.a.	n.a.
Bis-Allylic Position Equivalents, BAPE	40.70	39.54	42.30	34.80	37.90	50.50	60.70	37.90	n.a.	n.a.
Oxidation Stability Index, OSI (h)	5.88	5.74	5.52	6.12	5.70	5.03	5.00	5.70	≥ 3	≥ 6
OSI by Knothe and Dunn (h) <sup>d</sup>	2.07	2.13	2.01	2.34	2.20	1.64	1.18	2.20	≥ 3	≥ 6

<sup>a</sup> n.a. not applicable; <sup>b</sup> biodiesel properties were estimated using “Biodiesel Analyzer© Ver. 2.2” (available on “<http://www.brteam.ir/biodieselanalyzer>”) with empirical correlations based on related literature [41, 42]; <sup>c</sup> n.s. not specified; <sup>d</sup> estimated using correlation established by Knothe and Dunn [43], where OSI = 3.91–0.045 × BAPE

acids found in RBL are palmitic (11.2–26.5 wt%), oleic (32.9–43.4 wt%), and linoleic (31.1–46.9 wt%) acids (Table 1). The presence of behenic and lignoceric acids in RBL samples may be indicative of the presence of husk fractions in the collected RB [3].

Collectively, chain length and degree of unsaturation of fatty acids are expressed as saponification value (SV) and iodine value (IV), respectively. The SV of FAME from RBL is between 200 and 206 mg·g<sup>-1</sup> because it consists largely of long-chain fatty acids with lauric acid (280 mg·g<sup>-1</sup>) as the shortest fatty acid and lignoceric acid (152 mg·g<sup>-1</sup>) being the

longest FA chain in commercial BD. As for the IV, BD derived from RBL ranges from 99 to 121 g I<sub>2</sub> per 100 g FAME. Fatty acids from RBL are considerably unsaturated but could generally pass limits (≤ 120) stipulated in the European Standards (EN).

Both chain length and degree of unsaturation influence the quality of the resulting biodiesel. Comparing the estimated properties of potential FAME from RBL to standards outlined by ASTM (American Society for Testing and Materials) and EN, most of the physicochemical properties have been met when compared to ASTM, but

fall short in some properties when compared to EN standards. For instance, the cetane number (CN) could range from 46 to 50, which does not meet current standards by EN and could also fall short in ASTM standards. The CN is strongly correlated with SV and IV, with lower SV and IV resulting in higher CN [44]. This idea could be taken advantage of by blending with FAME derived from lipids of longer chain lengths and higher saturation to improve the CN of BD from RBL. However, actual tests of FAME from RBL following ASTM D 613 resulted in CN ranging from 48 to 61 [45–47]. Although no strict standards are set for cold flow properties, FAME from RBL have favorable cold flow properties suitable for use in the tropical ( $T \geq 18$  °C) and the subtropical ( $T = -3$  to 22 °C) zones, where Southeastern Asian countries and part of Southern and Eastern Asian countries are located. It is also worthwhile noting that the presence of unsaturation improves the cold flow properties of BD, allowing its use in colder conditions [48].

One of the main concerns in the use of BD is its oxidative stability, owing to the high degree of unsaturation. Estimates of oxidative stability index (OSI) for pure FAME from RBL using “Biodiesel Analyzer© Ver. 2.2” ranges from 5 to 6 h, which are estimated using the correlation based on the linoleic and linolenic contents as previously established by Park et al. [49]. However, adopting the correlation established by Knothe and Dunn [43], the estimated OSI only ranged from 1 to 2 h. Studies performing an actual test for oxidative stability (OS) of FAME from RBL had varied results with 4 studies reporting in the range of 1.61–1.92 h [47, 50–52], 3 studies reporting 3.65–5.00 h [53–55], and one as high as 9 h [56]. These variabilities and discrepancies of estimated and measured oxidative stability index may merit further investigations to provide accurate estimates, and reliable measurements, for appropriate evaluation. Nevertheless, the issue on OS could be addressed by adding antioxidants or additives, which is normally practiced for commercialized BD to meet standards [53]. Moreover, natural antioxidants like tocopherols, tocotrienol, and oryzanol present in RBL could contribute to improving the OS of resulting FAME [57].

For the use of fatty acid alkyl ester (FAAE) from RBL as BD, whether used neat or in blends with PD and alcohols, an extensive review has been made by Hoang et al. [24] covering different researches that focused on the engine performance, combustion, and emission characteristics. From the said review, it was concluded that a 20% blend of RBL-BD by volume with PD was recommended as it provided similar combustion characteristics and engine performance but at reduced emissions as compared to neat PD. With BD from RBL having potential and practical use in the diesel fuel market, it would be of importance to know the availability of the resource and the challenges faced in converting the lipids into the desired renewable fuel.

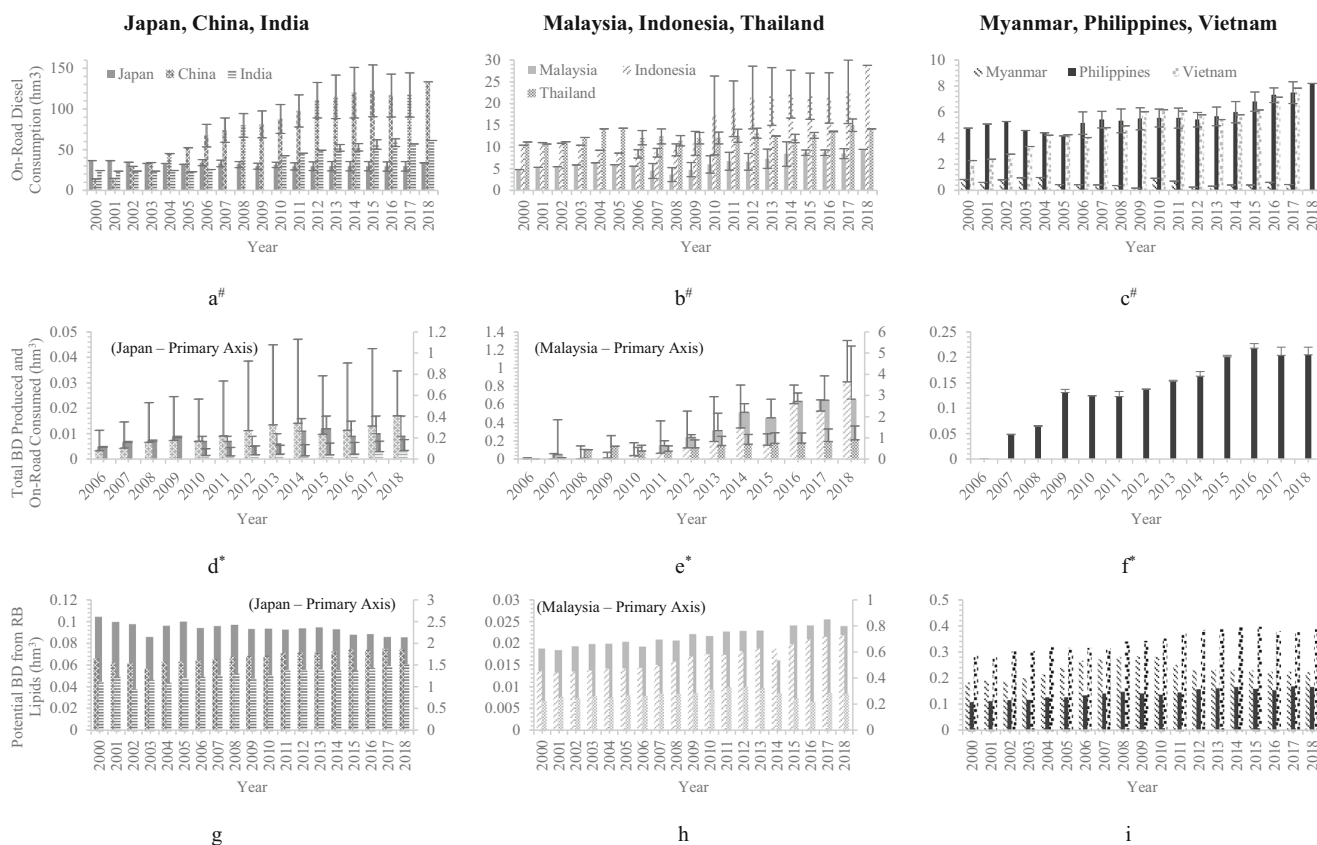
### 3.2 Potential biodiesel from rice bran lipids

One of the main drivers in the use of renewable fuels like that of BD is to reduce dependence on the foreign supply of fuels. Thus, biofuel producers should first ensure the availability of a local resource. In Eastern Asia, 91.04 ± 0.49% of the PR is produced in China, and Japan having a share of 4.58 ± 0.28% in the past decade. For Southern Asia, PR production is mainly distributed between Bangladesh (22.43 ± 0.65%) and India (68.32 ± 0.94%). As for Southeastern Asia, production is dominated by Indonesia (34.63 ± 2.36%), followed by Vietnam (20.47 ± 0.75%), Thailand (15.84 ± 2.11%), Myanmar (13.15 ± 1.63%), and the Philippines (8.50 ± 0.39%). Interestingly, most of these countries also advocate the use of BD (Fig. 4). From Fig. 4a–c, it could be observed that on-road diesel consumption has continuously increased from 2000 to 2018, except for Japan and Myanmar averaging an annual consumption of ~0.35 and ~0.54 hm<sup>3</sup>, respectively. In view of BD production and consumption (Fig. 4d–f) from these countries, these have also increased over the years. This is most likely a response to their commitment to the Kyoto Protocol (2005) [18].

For most countries producing BD in Asia, there seems to be a big gap between the production and what is consumed, except for the Philippines. This indicates that the use of BD may not only be for on-road applications, and that part of what is produced is exported. A closer look into the production, consumption, and trade of BD by the countries (Table 2) further reveals that the consumption has since expanded from on-road to other transport and industrial use, except for the Philippines and Malaysia. Moreover, most of these countries expanded their production capacities to a point, which enabled them to export the BD they produced, except for India, the Philippines, and Thailand. For most Asian countries implementing the use of BD, except China and Japan, there is targeted blending percentage of BD into the diesel fuel sold in the market, based on government mandates. Unfortunately, many of these countries are not able to meet their current mandates or are still far from meeting their targets, except Thailand. In the context of Southeastern Asia, a recent review has pointed out that only Malaysia and Indonesia have surplus oils from palm to be used for BD production [58]. From the said review, countries in Southeastern Asia could potentially meet their mandates if surplus oils from palm and coconut are solely used in BD production and trade is limited within the region, having at least an annual potential of ~36 MCM (~36 hm<sup>3</sup>). The availability of an additional resource would be important in meeting blending targets and minimizing the use of PD, while still allowing the trade of edible oils.

Implementing the use of biofuels like BD requires a pool of feedstock to successfully meet demands or realistically displace or minimize the use of petroleum-based fuels while avoiding the competition in the use of edible oils [22].





**Fig. 4** Trends in on-road diesel consumption (a, b, c) [27, 28], biodiesel production and on-road consumption (d, e, f) [28], and potential BD (g, h, i) from rice bran (RB) lipids (estimated from paddy rice production [26], assuming paddy rice with 14 wt% moisture [2], 8 wt% bran [2], and bran

containing a minimum of 15 wt% lipids). Error bars in a–c indicate the standard deviation of the data gathered from available sources; error bars in d–f indicate the reported production level, while columns indicate the local consumption

Taking Indonesia as an example, even if all the BD produced in 2018 is to be consumed for on-road transportation, it can only achieve a blending of ~19.5%. Currently, Japan and China tap into used cooking oil (UCO) as their main feedstock for BD production, while India taps into other non-edible industrial oils apart from UCO; at least 90% of the BD produced in Asia is still derived from edible oil sources with palm oil as the main resource tapped into by Indonesia, Malaysia, and Vietnam, while coconut is used as the feedstock for BD production in the Philippines. This further drives the need to find alternative resources to support the production and use of BD in these countries. In Asia, a potential of at least ~6.2 hm<sup>3</sup> could be produced based on the PR productions of 705 Tg in 2018 and at the lowest potential bran fraction of ~8 wt% and combined collection, processing, and conversion loss of ~20%. This quantity is about ~64% of the total BD production (~9.7 hm<sup>3</sup>) from prominent BD-producing countries in Asia. Generally, the potential amounts of BD that could be produced from available RB in respective countries could significantly contribute to their current biodiesel demand (Table 2).

Among the BD-producing countries in Asia, only Japan has a declining potential to recover and produce BD from RB (Fig. 4g and Table 2). This is owing to the declining PR production

of Japan, with an annual decrease in production rate by 0.4% (–0.14 Tg per year). Despite the declining potential, the available potential RB that could be generated in Japan could enable the production (~0.058 hm<sup>3</sup>) of at least 3 times the current BD production (~0.017 hm<sup>3</sup>) in Japan. Likewise, the potential BD from RB in China and India in 2018 is ~1.5 and ~8.5 times more than their current consumption, respectively. For BD-consuming countries in Southeast Asia, Malaysia has the least potential BD (~0.024 to ~0.029 hm<sup>3</sup>) from RB. This is because Malaysia is among the countries producing the least amount of PR, while its consumption (0.66 hm<sup>3</sup> in 2018) is among the highest. Among the Southeast Asian countries, Indonesia, the Philippines, and Thailand could on average contribute 20 to 80% of their on-road biodiesel consumption in 2018. Bangladesh and Myanmar currently do not produce or consume BD; the potential BD from RB in these countries could contribute up to 30% (0.49 hm<sup>3</sup>) and 45% (0.23 hm<sup>3</sup>) of their on-road PD consumption of 1.66 hm<sup>3</sup> and 0.46 hm<sup>3</sup> in 2017 [27], respectively. Although there is no available information on the on-road PD consumption in Vietnam, 0.39 to 0.56 hm<sup>3</sup> of BD could be potentially produced from locally generated RB from 2020 to 2040 if PR production continues to increase at the current rate. Overall, RB is a potential biodiesel resource, which

**Table 2** Biodiesel production and on-road consumption in Asia [28] and potential biodiesel from rice bran

Regions in Asia/ Countries	Biodiesel 2008/2018							Potential BD from RB Lipids (hm <sup>3</sup> ) <sup>a</sup>				
	Year	Production	Import	Export	Consumption	On-Road Consumption	% Blend (On-Road)		2018	Linear Increase in Paddy Rice Production <sup>b</sup>		
		hm <sup>3</sup>	hm <sup>3</sup>	hm <sup>3</sup>	hm <sup>3</sup>	hm <sup>3</sup>	hm <sup>3</sup>	Actual		Mandate	2020	2030
Eastern									<b>2.045</b>	<b>2.334</b>	<b>2.539</b>	<b>2.745</b>
<i>China</i>	2008	0.534	0.000	0.000	0.534	0.160	0.20	n.a. <sup>c</sup>	1.877	2.150	2.370	2.591
	2018	0.834	0.853	0.357	1.330	0.313	0.20	n.a.				
<i>Japan</i>	2008	0.008	0.000	0.000	0.008	0.008	0.00	n.a.	0.086	0.084	0.071	0.058
	2018	0.017	0.001	0.006	0.011	0.009	0.03	n.a.				
Southern									<b>2.224</b>	<b>2.287</b>	<b>2.588</b>	<b>2.889</b>
<i>Bangladesh</i>	2008	-	-	-	-	-	-	-	0.499	0.482	0.551	0.619
	2018	-	-	-	-	-	-	-				
<i>India</i>	2009	0.075	0.000	0.000	0.030	0.030	0.09	5.00	1.527	1.594	1.799	2.003
	2018	0.185	0.025	0.023	0.180	0.083	0.14	(2030)				
Southeastern									<b>1.954</b>	<b>2.063</b>	<b>2.370</b>	<b>2.677</b>
<i>Indonesia</i>	2008	0.630	0.00	0.610	0.023	0.018	0.10	n.a.	0.735	0.723	0.833	0.943
	2018	5.600	0.028	1.772	3.950	3.650	12.7	20.0				
<i>Malaysia</i>	2008	0.186	0.000	0.198	0.000	0.000	0.00	5.00	0.024	0.025	0.027	0.029
	2018	1.245	0.000	0.585	0.660	0.660	7.00	10.0				
<i>Myanmar</i>	2008	-	-	-	-	-	-	-	0.225	0.280	0.321	0.362
	2018	-	-	-	-	-	-	-				
<i>Philippines</i>	2008	0.066	0.000	0.000	0.064	0.064	1.30	1.00	0.169	0.174	0.200	0.225
	2018	0.220	0.000	0.000	0.205	0.205	2.50	5.00				
<i>Thailand</i>	2008	0.045	0.000	0.000	0.447	0.265	2.50	2.00	0.285	0.327	0.368	0.410
	2018	1.567	0.002	0.001	1.568	0.920	6.50	6.5 - 7.0				
<i>Vietnam</i>	2008	-	-	-	-	-	-	-	0.390	0.422	0.491	0.559
	2018	-	-	-	-	-	-	-				

<sup>a</sup> Expressed in hm<sup>3</sup> (cubic hectometer or million cubic meter, MCM) as estimated from paddy rice production [26]; <sup>b</sup> linear regression ( $y$ ) in million tons for a given year ( $x$ ) (Eastern Asia:  $y = 2.2052x - 4203.9$ ;  $R^2 = 0.8208$ , China:  $y = 2.3663x - 4549.1$ ;  $R^2 = 0.8558$ , Japan:  $y = -0.1404x + 292.6$ ;  $R^2 = 0.8248$ , Southern Asia:  $y = 3.2329x - 6284.9$ ;  $R^2 = 0.9728$ , Bangladesh:  $y = 0.7372x - 1437.4$ ;  $R^2 = 0.9122$ , India:  $y = 2.2003x - 4273.5$ ;  $R^2 = 0.9609$ , Southeastern Asia:  $y = 3.2975x - 6439.5$ ;  $R^2 = 0.9738$ , Indonesia:  $y = 1.1783x - 2302.5$ ;  $R^2 = 0.9759$ , Malaysia:  $y = 0.0228x - 43.4$ ;  $R^2 = 0.7598$ , Myanmar:  $y = 0.4394x - 857.5$ ;  $R^2 = 0.8778$ , Philippines:  $y = 0.2777x - 542.3$ ;  $R^2 = 0.9534$ , Thailand:  $y = 0.4453x - 864.4$ ;  $R^2 = 0.8909$ , Vietnam:  $y = 0.7362x - 1441.8$ ;  $R^2 = 0.9349$ ), assuming paddy rice with 14 wt% moisture [2], 8 wt% bran [2], with milling and logistic losses at 5%, and bran containing a minimum of 15 wt% lipids, at a lipid recovery of 95%, FAME yield of 0.88 w-w<sup>-1</sup>, FAME density 880 kg/m<sup>3</sup>, and availability and use factors of 1; <sup>c</sup> n.a. not available

could be exploited by countries in PR-producing countries in Asia, specifically those in the South, East, and Southeast Asia.

### 3.3 Extraction and recovery of rice bran lipids

The extraction of lipids is generally carried out by either mechanical extraction or solvent extraction. Mechanical extraction can be further categorized as static and dynamic pressing, which are carried out with the use of hydraulic press (ram press) or screw press (extruder or expeller). From a preliminary study on RBL extraction with the aid of a hydraulic press that was reported in 1993, it was observed that 45% of the total available lipids could be extracted from RB with the resulting cake having ~16 wt% residual lipid content [59]. This result indicates the low recovery was not only achieved by hydraulic presses but also achieved with RB samples initially containing lipids of ~30

wt%. A more detailed investigation, which looked into different stabilization techniques and their influence on the later hydraulic extraction process, was reported by El-Kholy et al. [60] in 2009, where the extraction efficiency achieved, still, only reached ~47%. The same group of researchers also carried out extraction with a screw press which resulted in a maximum recovery of 40 wt% [61]. Unfortunately, both works reported by the same group of researchers did not indicate the initial lipid content of the RB used, which hindered objective comparison with reports from other works and between processes. However, it is of consensus from different studies that heat treatment before or during the mechanical extraction process improved oil yield from bran as the treatment softens the cells containing the lipids, freeing the lipids and easing their release during extraction [61–63]. For instance, cold pressing and untreated RB yielded 4 to 5.8 g lipid per 100 g RB, while hot

pressing or RB with heat pre-treatment achieved yields up to 8.3 g lipid per 100 g RB [62–64]. Depending on screw press configuration and operating conditions, recoveries could vary from 37.5% [64] to as high as 53.7% [65] for RB containing lipids of ~22 to 24 wt%. Under favorable conditions, screw presses achieve relatively higher recoveries than hydraulic press and allow operations to be done continuously favoring large-scale operations and processes [66].

Mechanical extraction is favored in food and feed industries as it avoids the use of solvents and is often seen as a more environmentally friendly process. However, lipid recoveries of ~50% may not be favorable when producing high-volume and low-value products like biodiesel. Solvent extraction with the use of hexane, benzene, and others, with the former two seldom used nowadays owing to toxicity, is often adopted in the lab with the aid of a Soxhlet extractor to determine the total lipid content of lipid-containing samples like oilseeds. Although used as a reference method, the use of Soxhlet extractor is often limited in laboratories owing to the large volume of solvent required, long extraction times, and high energy requirements. Generally, solvent extraction enables higher lipid recovery compared to mechanical extraction and is often preferred for materials containing lipids less than 20 wt% [67]. Solvent extraction of RBL can be further categorized as conventional solvent extraction (CSE), sub-/supercritical solvent extraction (SSE), liquefied gas extraction (LGE), and aqueous extraction (AE). The different solvent extraction techniques may be carried out in a certain combination with various pretreatments including heat treatments, steaming, and hydrolysis. Extraction of lipids from RB with solvents is further enhanced in terms of extraction rate with the aid of irradiation including microwave and ultrasound irradiation to hasten the extraction process. A detailed review of green extraction technologies for RBL using solvents has been done by Garofalo et al. [68] in 2020. The brief review that will be presented hereafter will focus on the general idea of lipid extraction by solvents and key points not previously emphasized.

Conventional solvent extraction of RBL is often carried out with solvents like hexane [69–71], ethanol [72, 73], and isopropanol [71, 74]. The use of alcohol in place of hexane is driven by concerns related to environmental emissions, related health risks, and flammability hazards in the use of n-hexane [71, 73, 74]. Comparison of extraction efficiency between isopropanol and hexane at ambient conditions was carried out by Proctor and Bowen [71], where these solvents were found to be comparable, achieving extraction efficiencies over 90%. A separate work by Hu et al. [74] presented that the use of hexane have significantly higher efficiency (~85%) than isopropanol (~80%) with extractions carried out at 60 °C. These discrepancies may have resulted because of differences in initial lipid contents and solvent-to-solid ratios (SSRs) employed, with the former employing an SSR of

10 cm<sup>3</sup>·g<sup>-1</sup> with RB having 16.5 wt% lipids [71], while the latter employed SSR of 4.6 cm<sup>3</sup>·g<sup>-1</sup> with RB having 24.9 wt% lipids [74]. With the use of aqueous ethanol containing ~6 wt% water, Oliveira et al. [73] reported that an extraction efficiency of 99.9% could be achieved at an SSR of 4 g·g<sup>-1</sup> at 82 °C for RB containing ~20 wt% lipids. Although the use of alcohol and aqueous systems has comparable apparent extraction efficiencies with n-hexane, the use of polar solvents risks the co-extraction of non-lipid components [75]. A more recent study by Capellini et al. [76] revealed that using ethanol and butanol could achieve extraction efficiencies of ~90%, but the extracts contained soluble proteins, with the oil fraction corresponding only to an extraction efficiency of ~80% for RB having a lipid content of 20.4 wt%. In CSE, extractions are often carried out at atmospheric pressure and temperatures from ambient to the near-boiling point of the chosen solvent [69, 70, 72], and the presence of water in large quantities results in lower lipid extraction efficiencies [72, 73, 76].

Apart from the use of conventional liquid solvents for extraction, several studies have also considered the use of gases under sub-/supercritical conditions or liquefied state as extraction solvent. Among the gases explored as solvents are carbon dioxide, propane, butane, and petroleum gas. The idea of using supercritical carbon dioxide (SC-CO<sub>2</sub>) for RBL has been around since the 1980s, with SC-CO<sub>2</sub> reported to achieve extraction yields of 22 g lipids per 100 gram RB and is comparable to hexane which yields 23 g lipids per 100 gram RB [77]. Although supercritical conditions of CO<sub>2</sub> (31.06 °C, 7.37 MPa) are relatively low and easy to achieve, effective extraction of RBL lipids required SC-CO<sub>2</sub> extraction operated at 60–80 °C and 30–50 MPa [68]. The high-pressure operation requirement is perceived to induce higher capital, operational, and maintenance cost [78]. Sparks et al. [78] have shown that similar yields (~22 g per 100 g RB) can be obtained with SC-CO<sub>2</sub> and liquefied propane, but with propane extraction operated at 0.76 MPa as compared to 35 MPa required by SC-CO<sub>2</sub>. At 40 to 45 °C and 0.5 MPa, extraction with liquefied propane and butane can achieve similar extraction efficiencies of ~90%, with propane favoring the extraction of waxes, and butane favoring triglycerides [79]. Considering that liquefied petroleum gas (LPG) is primarily (~70%) comprised of propane and butane, Soares [80] proposed its use and achieved an extraction efficiency of ~82% at 30 °C and 1.5 MPa, which is comparable to SC-CO<sub>2</sub> requiring 40 °C and 25 MPa. Safety precautions may have to be considered in the use of LPG and its constituents as these pose certain risks or hazards in terms of fire and explosion. The main advantage in the use of these gases is on the ease of separation between the solvent and the crude extract upon decompression, without leaving toxic residue in the products.

Water is often referred to as the “universal solvent” and has also been explored in the extraction of lipids from RB. Unfortunately, the use of water in AE [81, 82], enzyme-

assisted AE [83, 84], and subcritical water extraction [85], terminologies that are widely used in the literature related to lipid extraction, does not necessarily mean that water acts as the solvent. Aqueous extraction is often carried out at a high pH of 12 [81, 82] and its extracts are often characterized to have low FFA [81]. Often, these studies on lipid extraction do not provide analysis of the lipid composition and fatty acid profile, which gave no assurance that the extracts obtained are solely lipids. Moreover, with the extractions carried out at high pH, a fraction of the lipids may have been saponified which facilitated solubilization of the lipids in the aqueous phase. In most cases when enzymes like protease, cellulase, and amylase are added, the solid matrix which initially houses the lipids is generally broken down thereby releasing the lipids, but the lipids are not actually dissolved in water [84]. These approaches are reported to achieve an extraction efficiency of up to ~80%. Higher efficiencies of over 90% could be attained by enzyme-assisted AE [83] and subcritical water extraction [85] with the addition of hexane in the resulting mixture after RB have undergone the said treatments. Although both approaches are referred to as extraction, it involves the hydrolysis of the solid matrix which may have facilitated the release of the contained lipids, rather than the solubilization of the available lipids. Thus, studies on aqueous extraction of lipids may have to be revisited and reassessed if lipids are indeed being extracted by water or simply freed from the solid matrix that initially contains it.

In view of scale-up and actual application, it is important to take into consideration two aspects of the extraction process, namely, extraction kinetics, and equilibrium relationship. Studies involving lipid extraction kinetics of RBL, mechanisms, and equilibrium distribution of lipids between the miscella and the inert solid have been limited. Majumdar et al. [72] in 1996 first reported the modeling of solvent extraction of rice bran lipids from pelleted-RB in a packed-bed system with hexane as the solvent, where the system was described with a one-dimensional model that accounted for the dynamic conditions of the system in terms of dimensionless transport quantities. In 2004, Amarasinghe and Gangodavilage [69] looked into RB (pelleted)-hexane system, at a fixed temperature (60 °C) and using a Soxhlet extractor, where the process was found to follow a single-step first-order extraction rate. However, modeling a Soxhlet extractor as a strict batch process may not be appropriate because the extraction process involved is a semi-batch process with the products intermittently separated after each extraction cycle and is not limited by equilibrium. A pilot-scale extractor was also adopted for use with equilibrium extraction data provided in aid of equipment design [69]. More recent works published in 2017 involving RB-hexane [70] and RB (pelleted)-ethanol [72] systems have concluded that the extraction process involved more than

one mechanism and is primarily governed by a rapid washing step and slower diffusion steps. However, there is a lack of consensus on this matter as there are still differences in the choices of models implemented by researchers. For example, Zuniga-Diaz et al. [70] still opted to model the system according to a single diffusion model, while Kamimura et al. [72] and Bessa et al. [86] modeled the system adopting a 2-mechanism model. Bessa et al. [86] also carried out pilot-scale equilibrium experiments and determined that the pelleted-RB used required five ideal stages in a simulated counter-current extraction system with anhydrous ethanol as solvent. A pilot-scale extraction system involving SC-CO<sub>2</sub> [87] and continuous microwave-assisted extraction of RBL with ethanol [38] were also reported, with efficiencies over 90%. Typical SSRs adopted during extraction ranged between 3 and 4 g solvent per gram RB. Although kinetic information and equilibrium relation are provided in certain studies, it should be noted that this information and relationships are system-specific and would best be obtained through actual-scale experiments and/or with information on mixing dynamics and flow characteristics.

### 3.4 Process options or synthesis routes for biodiesel production from rice bran lipids

The conversion of RBL into BD could be achieved via various processes adopting different synthesis routes. To provide an objective basis when making comparisons between processes, some reaction or process metrics commonly adopted in biodiesel synthesis are briefly discussed. Any chemical reaction, including BD synthesis, requires the conversion of raw materials into products. A reaction conversion is defined as the fraction of a given reactant (limiting reactant) at a given reaction period. Lipids are complex mixtures composed primarily of fatty acids (FAs) either in free or bound as esters. Also, some of these lipids produce more than one fatty acid alkyl ester (FAAE). These make it difficult to directly assess the conversion. Although several researchers have interchangeably used the conversion, yield, and FAAE content ( $C_{AE}$ ), these measures are in principle different and are only interchangeable when pure reactants are used and under certain assumptions. Considering that each FAAE is produced from a single fatty acid chain, monitoring the total fatty acids (TFA, free and bound) before the reaction ( $n_{TFA_i}$ ) and after ( $n_{TFA_f}$ ) the reaction would allow the determination of conversion ( $X_{TFA}$ , Eq. 3). However, monitoring the unconverted TFA after the reaction is not straight forward, and is often based on the amount of FAAE generated ( $n_{FAAE}$ ), with the assumption that available FAs are not degraded or transformed into forms that cannot be converted to



FAAE.

$$X_{\text{TFA}} = \frac{n_{\text{TFA}_i} - n_{\text{TFA}_f}}{n_{\text{TFA}_i}} = \frac{n_{\text{FAAE}_f} - n_{\text{FAAE}_i}}{n_{\text{TFA}_i}} \quad (3)$$

Depending on the synthesis routes and reaction schemes adopted, degradation or losses of reactant or product may occur. From a process perspective, it is often more practical to monitor and determine the amount of product that is produced, which is often normalized and presented in terms of yields, which could either be relative to the theoretical maximum product ( $Y_P$ , Eq. 4), initial lipid content ( $Y_L$ , Eq. 5), and dry solid or biomass processed ( $Y_S$ , Eq. 6).

Each of these definitions for yield provides different practical implications and serves as metrics for comparing different processes. As mentioned, each FAAE is generated from one FA chain, thus, making the moles of theoretical maximum FAAE ( $n_{\text{FAAE}_{\text{Theo}}}$ ), equal to the  $n_{\text{TFA}_i}$ . Given the abovementioned assumptions for estimating the conversion, this would then mean that  $Y_P$  and  $X_{\text{TFA}}$  could be used interchangeably in the context of BD production. Unlike  $X_{\text{TFA}}$ ,  $Y_P$  is a measure to indicate how far the desired reaction is from completion. Furthermore,  $Y_P$  could be determined based on the mass of FAAE ( $m_{\text{FAAE}}$ ) and TFA ( $m_{\text{TFA}}$ ) while incorporating a stoichiometric factor based on the average molecular weights of FAAE ( $MW_{\text{TFA}}$ ) and TFA ( $MW_{\text{TFA}}$ ), which could range from 1.04 to 1.07 for FAME and 108 to 114 for FAEE, with an average of around 1.05 and 109, respectively. Mass yields like  $Y_L$  and  $Y_S$  are more practical measures to indicate the amount of FAAE that could be produced when processing a given mass of raw material, either the total amount of lipids ( $m_{\text{Lipid}}$ ) or the dry solid or biomass ( $m_{\text{Biomass\_Dry}}$ ). Mass yields also allow comparisons with lipids undergoing refining after extraction and between the in situ process and conventional processes where lipids are first extracted with  $Y_L$  and  $Y_S$  related to the amount of extractable or extracted lipids relative to the dry biomass processed. Furthermore,  $Y_L$  and  $Y_S$  may serve as indicators of variabilities in raw material quality.

$$Y_P = \frac{n_{\text{FAAE}_f} - n_{\text{FAAE}_i}}{n_{\text{FAAE}_{\text{Theo}}}} = \frac{n_{\text{FAAE}_f} - n_{\text{FAAE}_i}}{n_{\text{TFA}_i}} = \frac{m_{\text{FAAE}_f} - m_{\text{FAAE}_i}}{m_{\text{TFA}_i} \times \frac{MW_{\text{FAAE}}}{MW_{\text{FA}}}} \quad (4)$$

$$= \frac{Y_L}{Y_{L_{\text{Theo}}}} = \frac{Y_S}{Y_{S_{\text{Theo}}}}$$

$$Y_L = \frac{m_{\text{FAAE}_f} - m_{\text{FAAE}_i}}{m_{\text{Lipid}}} \quad (5)$$

$$Y_S = \frac{m_{\text{FAAE}_f} - m_{\text{FAAE}_i}}{m_{\text{Biomass\_Dry}}} = Y_L \times E_L \quad (6)$$

Although process responses are important indicators of how well the reaction and process has proceeded, it is also important to consider and account for the quality of the

product, which is often expressed in terms of product purity or concentration of FAAE ( $C_{\text{AE}}$ ) in the hydrophobic product. Since authors of different researches have different preferences in expressing their process responses, comparisons will be made in terms of the three different definitions for yields and purity. Responses not reported are calculated using the above definitions and based on available information provided in the different studies reported. Broadly, the different processes could be classified as conventional, in situ, and sub-/supercritical processes. Apart from the typical processes of converting RBL to BD, emerging technologies or strategies will also be tackled in the review that follows.

### 3.4.1 Conventional (trans)esterification

In this review, conventional (trans)esterification of RBL refers to the conversion of RBL to BD with the aid of either a homogeneous or heterogeneous catalyst carried out typically under ambient pressures and temperatures below the reaction mixture's boiling temperature. A summary of published studies on the conventional synthesis or production of BD from RBL using homogeneous and heterogeneous catalysts are summarized in Tables 3 and 4, respectively. Conventional (trans)esterification of RBL catalyzed with homogeneous or heterogeneous catalysts typically employs the use of alcohols like methanol and ethanol as the alkyl donor. These catalyzed reactions may be further classified according to the type of catalyst employed, which could be broadly grouped into either base-, acid-, and enzyme-catalyzed (trans)esterification.

**Homogeneous alkali or base-catalyzed** Among the earliest investigation in the conversion of RBL to BD was the study by Bak et al. [88] in 1996, employing the use of base catalysts. The most common homogeneous base catalysts used are hydroxides and methoxides of sodium and potassium metals. Homogeneous base-catalyzed reactions require the use of refined RBO (RRBO) with FFA content less than 3 wt% found to achieve process and reaction yields of over 90% [89]. Base transesterification of RRBO is typically carried out at temperatures (60 to 80°C) near the boiling point of the alkyl donor for 45 min to an hour with a molar ratio of alcohol to oil at 6 to 9, a catalyst loading of 0.75 to 1 wt% of the available RRBO, and whenever possible, in the absence of water (Table 3). At the same catalyst loading, the yields achieved in decreasing order are observed using the following catalysts,  $\text{NaOCH}_3 \geq \text{NaOH} > \text{KOH}$  [88]. The better catalytic performance observed with  $\text{NaOCH}_3$  as a catalyst is because it readily dissociates into the active intermediate required for the transesterification reaction to proceed, while the use of hydroxides would require an additional step to form the methoxide intermediate. Between sodium and potassium hydroxide, higher amounts are generally required for KOH to achieve similar yields with NaOH, as



**Table 3** Conventional and (trans)esterification of rice bran lipids using homogeneous catalyst<sup>a</sup>

Feedstock Quality	Alkyl Donor and Co-solvent (cm <sup>3</sup> /g) <sup>b</sup>	Solvent Loading	Catalyst Loading	Mixing / Irradiation / Heating	T (°C) / P (MPa) / t (h) <sup>c</sup>	Space Loading / Reactor Loading <sup>d</sup>	Yields and Purity <sup>e</sup>	Ref.
M: F:	Methanol: -	0.24 -	NaOH/NaOCH <sub>3</sub> 1 wt.% of RBL	Mechanical Stirrer <i>Mixing speed not specified</i> Heating Mantle	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[88]
M: F:	Methanol: -	SLR <sub>lip</sub> : SLR:	NaOH 0.75 wt.% of RBL	Mechanical Stirrer 1100 RPM Heating Mantle	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[89]
M: F:	Methanol: -	SLR <sub>lip</sub> : SLR:	NaOH 0.75 wt.% of RBL	Magnetic Stirrer <i>Mixing speed not specified</i> Hotplate	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[91]
M: F:	Methanol: -	0.20 -	NaOH 1.5 wt.% of RBL	Magnetic Stirrer <i>Mixing speed not specified</i> <i>Heating not specified</i>	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[92]
M: F:	Methanol: -	0.27 -	NaOH 0.5 wt.% of RBL	Magnetic Stirrer 600 RPM <i>Heating not specified</i>	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[93]
M: F:	Methanol: -	0.28 -	NaOH 0.9 wt.% of RBL	Mechanical Stirrer 600 RPM Thermostatic Water Bath	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[193]
M: F:	Methanol OR Ethanol	0.27 0.27	KOH 1.2 wt.% of RBL	Mechanical Stirrer 600 RPM Hotplate	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[90]
M: F:	Methanol: -	0.32 -	KOH 1 wt.% of RBL	Mechanical Stirrer 700 RPM Recirculating Bath	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[34]
M: F:	Methanol: -	0.27 -	KOH 0.85 wt.% RBL	Magnetic Stirrer 600 RPM Hotplate Water Bath	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[50]
M: F:	Methanol: -	0.27* -	NaOH 0.25 wt.% of RBL	<i>Stirring not specified</i> Hotplate	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[47]
M: F:	Methanol: -	0.27* -	KOH 0.75 wt.% of RBL	<i>Stirring not specified</i> Hotplate	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AE</sub> :	[47]

Table 3 (continued)

Feedstock Quality	Alkyl Donor and Co-solvent (cm <sup>3</sup> /g) <sup>a</sup>	Solvent Loading	Catalyst Loading	Mixing / Irradiation / Heating	T (°C) / P (MPa) / t (h) <sup>c</sup>	Space Loading / Reactor Loading <sup>d</sup>	Yields and Purity <sup>e</sup>	Ref.
M:	-	Methanol: 0.41* SLR <sub>sp</sub> : 9	NaOCH <sub>3</sub> 0.75 wt.% of RBL	<i>Stirring not specified</i> Hotplate	T: 46 P: Ambient t: 1	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : 96.5 Y <sub>P</sub> : -	-
F:	11.5	SLR: 0.41*					C <sub>A/E</sub> : -	[160]
M:	-	Methanol: 0.28* SLR <sub>sp</sub> : 6	KOH 0.5 wt.% of RBL	Ultrasonic Water Bath	T: 55 P: Ambient t: 0.8	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : 94 Y <sub>P</sub> : -	[160]
F:	-	SLR: 0.28*					C <sub>A/E</sub> : -	
M:	-	Ethanol: 0.32* SLR <sub>sp</sub> : 5	NaOH 0.6 wt.% of RBL	Flow Reactor Microwave Irradiated	T: 50 - 73 P: - t: 0.02 - 0.11	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : - Y <sub>P</sub> : >99	[161]
F:	-	SLR: 0.32*					C <sub>A/E</sub> : -	
M:	-	Methanol OR Ethanol: 0.23* SLR <sub>sp</sub> : 5 / 9	NaOH 0.15-0.18 wt.% of RBL	Magnetic Stirrer (Batch) Microwave Irradiated	T: 60 - 80 P: - t: 0.08 - 0.33	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : - Y <sub>P</sub> : 95 - 98	[157]
F:	-	SLR: 0.23 / 0.58					C <sub>A/E</sub> : -	
M:	-	Methanol: - SLR <sub>sp</sub> : 40	H <sub>2</sub> SO <sub>4</sub> 5 wt.% RBL	Magnetic Stirrer 600 RPM Hotplate Water Bath	T: 60 P: Ambient t: 5	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : - Y <sub>P</sub> : ~99	[94]
F:	59	SLR: -					C <sub>A/E</sub> : -	
M:	-	Methanol: - SLR <sub>sp</sub> : 15	H <sub>2</sub> SO <sub>4</sub> 3 wt.% of RBL	<i>Not specified</i>	T: 55 - 60 P: Ambient t: 4	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : 72 Y <sub>P</sub> : -	[95]
F:	58	SLR: -					C <sub>A/E</sub> : -	
M:	-	Step 1 Methanol: 0.38 SLR <sub>sp</sub> : 5 / 9	H <sub>2</sub> SO <sub>4</sub> 2 wt.% RBL	Magnetic Stirrer 300 RPM Hotplate Oil Bath	T: 60 / 100 P: Ambient / - t: 2 + 6 / 8	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : - Y <sub>P</sub> : -	[30]
F:	7 / 76	SLR: 0.42 -0.80*					C <sub>A/E</sub> : -	
M:	-	Methanol: Methanol: 2 Steps: 6 / 7.5	H <sub>2</sub> SO <sub>4</sub> 0.5 wt.% of RBL NaOCH <sub>3</sub> 0.88 wt.% of RBL	Mechanical Stirrer 600 RPM Heating Mantle	T: 65 / 55 P: Ambient t: 1 + 1	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : 83.3 Y <sub>P</sub> : -	[96]
F:	32.9	SLR: -					C <sub>A/E</sub> : -	
M:	-	Methanol: Methanol: 3 Steps: 0.08 / 0.07 / 0.22	H <sub>2</sub> SO <sub>4</sub> 0.5 wt.% of RBL NaOH 1.1 wt.% of RBL	Mechanical Stirrer 600 RPM Thermostatic Water Bath	T: 55 - 60 P: Ambient t: 1 + 1 + 1	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : 90 Y <sub>P</sub> : -	[97]
F:	20	SLR: -					C <sub>A/E</sub> : -	
M:	-	Methanol: Methanol: 3 Steps: 7 / 6 / 6	H <sub>2</sub> SO <sub>4</sub> Loading not specified NaOH 1.1 wt.% of RBL	Mechanical Stirrer 400 RPM Thermostatic Water Bath	T: - / - / 55 P: Ambient t: 1.25 + 1.25	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : 87 Y <sub>P</sub> : -	[98]
F:	19	SLR: -					C <sub>A/E</sub> : -	
M:	-	Methanol: Methanol: 3 Steps: 6 / 7 / 6	H <sub>2</sub> SO <sub>4</sub> 1 wt.% of RBL KOH 0.75 wt.% of RBL	Mechanical Stirrer 600 RPM Thermostatic Water Bath	T: 50 / 50 / 60 P: Ambient t: 1 + 1 + 0.75	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : 98.7 Y <sub>P</sub> : 98.3	[46]
F:	20	SLR: -					C <sub>A/E</sub> : -	

Table 3 (continued)

Feedstock Quality	Alkyl Donor and Co-solvent (cm <sup>3</sup> /g) <sup>b</sup>	Solvent Loading	Catalyst Loading	Mixing / Irradiation / Heating	T (°C) / P (MPa) / t (h) <sup>c</sup>	Space Loading / Reactor Loading <sup>d</sup>	Yields and Purity	Ref.
M:	-	0.41*	9	Incubator Shaker	T: 30	SL: n.a. <sup>f</sup>	Y <sub>S</sub> : -	[47]
F:	115	0.41*	1.25 wt.% of RBL	Stirring not specified	P: Ambient t: 96	RL: -	Y <sub>L</sub> : 41.7 Y <sub>P</sub> : - C <sub>AE</sub> : -	
M:	-	0.19*	4	Magnetic Stirrer	T: 60	SL: n.a. <sup>f</sup>	Y <sub>S</sub> : -	[39]
F:	-	0.19*	1 mol : 400 mol RBL	Stirring not specified Heating not specified	P: Ambient t: 4	RL: -	Y <sub>L</sub> : 68.9 Y <sub>P</sub> : - C <sub>AE</sub> : -	

\* Entries to the table are calculated based on available information to facilitate comparison; <sup>a</sup> Rice bran lipids quality in terms of moisture content (M, wt.%), free fatty acid content (F, wt.%); <sup>b</sup> Alkyl donor and co-solvent relative to the mass of lipid or oil used (cm<sup>3</sup>/g), solvent loading as expressed in terms of solvent-to-lipid molar ratio (SLR<sub>m</sub>), solvent-to-lipid volume to mass ratio (SLR, cm<sup>3</sup>/g); <sup>c</sup> Temperature (T), pressure (P), and time (t); <sup>d</sup> Space loading (expressed as the reactor volume to the amount of biomass loaded, cm<sup>3</sup>/g), and reactor loading (percentage of the reactor volume occupied by the reaction mixture); <sup>e</sup> Yields expressed as the amount of FFAE relative to the solid (Y<sub>S</sub>), relative to the total lipids (Y<sub>L</sub>), and relative to the theoretical maximum amount of alkyl ester (Y<sub>P</sub>), with purity (C<sub>AE</sub>) expressed as the alkyl ester content; <sup>f</sup> not specified (n.s.) and not applicable (n.a.); <sup>g</sup> incomplete information to allow estimation

observed from the works of Mumtaz et al. [47] and Prabu et al. [90]. This is because KOH is available at lower purity (~85%) and higher molecular weight than NaOH. This also makes it difficult to directly compare the performance of catalysts at the same weight loading and should instead be compared at the same molar loading.

Although the use of sodium hydroxide is found to have comparable performance with NaOCH<sub>3</sub>, it should be noted that the formation of alkoxide moieties upon mixing hydroxides and alcohol results in the formation of water, which would promote the saponification process to occur. In separate works by Krishnakumar et al. [91], Eevera et al. [92], Ahmad et al. [93], and Sinha et al. [89], it was observed that NaOH loading of over 1 wt% resulted in yields below 90%. Water, as a by-product of alkoxide formation, would induce hydrolysis and subsequent saponification of the available fatty acids from the AG molecules. In an optimization study by Mumtaz et al. [47], it was found that hydroxides generally have lower yields (65 to 73%) when compared to sodium methoxide (96.5%) in the presence of significant quantities of FFA (11.5%). However, compared to methoxides, hydroxides are still widely used in the transesterification of refined oils owing to its availability and lower cost. Saponification is not only observed during the transesterification in the presence of water and/or FFA but could also occur in the recovery of FFAE in the crude product. The reaction mixture resulting from the transesterification reaction is generally washed with warm water to facilitate the separation of the by-product (glycerol), the unreacted alcohol, and the loaded catalyst. Rigorous mixing during the washing step is found to incur large losses in FFAE, with observed formation of emulsion [89]. Although not further analyzed and confirmed, it could be inferred that the emulsion observed may have also involved the formation of soap considering that the reactants required for saponification (fatty acid, NaOH/KOH, and water) are all present in the system. It is recommended that washing be carried out with gentle mixing to keep the losses in the amount of ester produced to a minimum of 5% and 10% when using NaOH and KOH as the catalyst, respectively [89].

**Homogeneous acid-catalyzed** The main challenge in using base catalysts is the reactivity of the catalyst towards FFA, which results in a chain of reactions involving saponification and hydrolysis that would eventually consume the available catalyst and bring the reaction to a halt. To address this, the use of mineral acids, specifically H<sub>2</sub>SO<sub>4</sub>, has been explored by several researchers. The (trans)esterification of dewaxed and degummed RBO (DDRBO) to FAME with the aid of H<sub>2</sub>SO<sub>4</sub> as catalyst was first explored by Zullaikah et al. [30] in 2005. Compared to homogeneous base-catalyzed reactions at a reaction temperature of ~60 °C, higher catalyst loading of 2 to 5 wt% and molar ratio of methanol to oil of 10 to 40, with much longer reaction periods of 8 to 24 h or even longer,

**Table 4** Conventional and (trans)esterification of rice bran lipids using heterogeneous catalyst<sup>a</sup>

Feedstock Quality <sup>a</sup>	Alkyl Donor and Co-solvent (cm <sup>3</sup> /g) <sup>b</sup>	Solvent Loading <sup>b</sup>	Catalyst Loading	Mixing / Irradiation / Heating	T (°C) / P (MPa) / t (h) <sup>c</sup>	Space Loading / Reactor Loading <sup>d</sup>	Yields and Purity <sup>e</sup>	Ref.
M: - F: 1–99	Methanol Steps	- SLR <sub>lip</sub> : SLR:	Novozym 435 5 wt.% of RBL	Magnetic Stirrer 150 RPM Hoplate Water Bath	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[101]
M: - F: -	Methanol	0.23* SLR <sub>lip</sub> : SLR:	Novozym 435 4 wt.% of RBL	Incubator Shaker 200 RPM	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[102]
M: - F: -	Ethanol	0.47* SLR <sub>lip</sub> : SLR:	Lipozyme TL-IM 4 wt.% of RBL	Incubator Shaker 200 RPM	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[102]
M: - F: -	n-Butanol	0.94* SLR <sub>lip</sub> : SLR:	Lipozyme RM-IM 4 wt.% of RBL	Incubator Shaker 200 RPM	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[33]
M: 10–40 F: n.s.	Methanol Hexane	0.1 2 SLR <sub>lip</sub> : SLR:	Candida sp. 99-125 20 wt.% of RBL	Incubator Shaker 170 RPM	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[47]
M: - F: 11.5	Methanol	0.27* SLR <sub>lip</sub> : SLR:	Novozym 435 1 wt.% of RBL	Incubator Shaker <i>Stirring not Specified</i>	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[103]
M: 4 F: 54	Ethanol	0.83* SLR <sub>lip</sub> : SLR:	Lipozyme TL IM 0.5 g	Packed-Bed Reactor L = 5.1 cm; D = 0.48 cm Recirculating Water Bath	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[155]
M: - F: -	Ethanol	0.60* SLR <sub>lip</sub> : SLR:	CaO 3 wt.% of RBL	Mechanical Stirrer 600 RPM Hoplate Water Bath	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[104]
M: - F: -	Methanol	1.62* SLR <sub>lip</sub> : SLR:	Calcined Seashell 0.5 wt. %	Magnetic Stirrer 150 RPM Heating Mantle	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[105]
M: - F: 0.05	Methanol:	0.69* SLR <sub>lip</sub> : SLR:	Calcined Coral 100 wt.% of RBL	<i>Stirring not Specified</i> <i>Heating not Specified</i>	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[106]
M: - F: -	Methanol:	0.69* SLR <sub>lip</sub> : SLR:	Calcined Egg Shells w/ Silver Nanoparticle 2 wt.% of RBL	Magnetic Stirrer 600 RPM Hoplate	T: P: t:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[107]
M: - F: -	Methanol:	0.42* SLR <sub>lip</sub> : SLR:	K-ZnO 5 wt.% of RBL	Magnetic Stirrer <i>Stirring not Specified</i>	T: P:	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>P</sub> : C <sub>AEB</sub> :	[107]

Table 4 (continued)

Feedstock Quality <sup>a</sup>	Alkyl Donor and Co-solvent (cm <sup>3</sup> /g) <sup>b</sup>	Solvent Loading <sup>b</sup>	Catalyst Loading	Mixing / Irradiation / Heating	T (°C) / P (MPa) / t (h) <sup>c</sup>	Space Loading / Reactor Loading <sup>d</sup>	Yields and Purity <sup>e</sup>	Ref.
M: F:	Methanol: 0.69*	SLR <sub>sp</sub> : 15 SLR: 0.69*	KI/Al <sub>2</sub> O <sub>3</sub> 5 wt.% of RBL	Hopplate Oil Bath <i>Stirring not Specified</i> <i>Heating not Specified</i>	t: 3 T: Reflux P: Ambient t: 8	SL: RL:	Y <sub>p</sub> : 95.5 C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 95.2 Y <sub>p</sub> : C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : ~96*	[108]
M: F:	Methanol: 0.23*	SLR <sub>sp</sub> : 5 SLR: 0.23*	ZrO <sub>2</sub> -Ash n.s.	<i>Stirring not Specified</i> <i>Heating not Specified</i>	T: Reflux P: Ambient t: 3	SL: RL:	Y <sub>p</sub> : C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : ~96*	[109]
M: F:	Methanol: 0.69*	SLR <sub>sp</sub> : 15 SLR: 0.69*	SBA-15 0.1 wt.% of RBL NaOH 1 wt.% of RBL	<i>Stirring not Specified</i> <i>Heating not Specified</i>	T: 70 P: Ambient t: 1	SL: RL:	C <sub>AB</sub> : 100 Y <sub>S</sub> : Y <sub>L</sub> : 91 Y <sub>p</sub> : 98.6	[111]
M: F:	Methanol: 0.56*	SLR <sub>sp</sub> : 12 SLR: 0.56*	KNO <sub>3</sub> -Zeolite 10 wt.% of RBL	Magnetic Stirrer <i>Stirring not Specified</i> Hopplate Water Bath	T: 67.5 P: Ambient t: 4	SL: RL:	C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 83.2 Y <sub>p</sub> : C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 98.18	[112]
M: F:	Methanol: 0.46*	SLR <sub>sp</sub> : 10 SLR: 0.46*	K <sub>2</sub> CO <sub>3</sub> -Zeolite 4 wt.%	Magnetic Stirrer 500 RPM Hopplate	T: 65 P: Ambient t: 3	SL: RL:	C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 98.18 Y <sub>p</sub> : C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 98.18	[35]
M: F:	Methanol: 0.42*	SLR <sub>sp</sub> : 9 SLR: 0.42*	Carbonized Palm Shell Modified with CaO Activated with KOH 8 wt.% of RBL	Magnetic Stirrer <i>Stirring not Specified</i> Hopplate Oil Bath	T: 65 P: Ambient t: 6	SL: RL:	C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>p</sub> : 95	[113]
M: F:	Methanol: 0.27*	SLR <sub>sp</sub> : 6 SLR: 0.27*	Ni-Schiff Base Chelate 0.5 wt.% of RBL Ni acetate 1 wt.% of RBL	300 RPM	T: 65 P: Ambient t: 2	SL: RL:	C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 93.5 Y <sub>p</sub> : C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 92.4	[114]
M: F:	Methanol: <i>Esterification only</i>	SLR <sub>sp</sub> : 14 SLR: -	Cs <sub>1</sub> H <sub>2</sub> PW <sub>12</sub> O <sub>40</sub> 0.041 g·cm <sup>-3</sup>	Parr Reactor 100- cm <sup>3</sup> 600 RPM	T: 65 P: Ambient t: 4.2	SL: RL:	C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>p</sub> : 92.4	[123]
M: F:	Methanol: 2 steps	SLR <sub>sp</sub> : 10 / 40 SLR: -	Step 1: H <sub>3</sub> PW <sub>12</sub> O <sub>40</sub> /SiO <sub>2</sub> 3 wt.% of the RBL Step 2: Mg <sub>0.71</sub> (Al) <sub>0.24</sub> La <sub>0.05</sub> 3 wt.% of the RBL	Parr 4590 Reactor 300 RPM	T: 70 / 100 P: Ambient / - t: 4 + 9	SL: RL:	C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 78 Y <sub>p</sub> : C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : 97	[36]
M: F:	Ethanol: 2 steps	SLR <sub>sp</sub> : 3.6:1 SLR: 0.68	Step 1: PK208LH 77 wt.% RBL* Step 2: PA306S 77 wt.% RBL*	Batch Reactor 150 RPM Thermal Bath	T: 50 / 50 P: Ambient t: 12 + 2	SL: RL:	Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>p</sub> : ~98 C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>p</sub> : ~98	[110]
M: F:	Ethanol: 2 steps	SLR <sub>sp</sub> : 3.6:1 SLR: 0.68	Step 1: PK208LH 55.5 grams Step 2: PA306S 33 grams	Packed-Bed Reactor 10 cm <sup>3</sup> ·min <sup>-1</sup> Recirculating water bath	T: 50 / 50 P: - t: 5.1 + 3	SL: RL:	C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>p</sub> : ~98 C <sub>AB</sub> : Y <sub>S</sub> : Y <sub>L</sub> : Y <sub>p</sub> : ~98	High



Table 4 (continued)

Feedstock Quality <sup>a</sup>	Alkyl Donor and Co-solvent (cm <sup>3</sup> /g) <sup>b</sup>	Solvent Loading <sup>b</sup>	Catalyst Loading	Mixing / Irradiation / Heating	T (°C) / P (MPa) / t (h) <sup>c</sup>	Space Loading / Reactor Loading <sup>d</sup>	Yields and Purity <sup>e</sup>	Ref.
M: - F: ns	Methanol: 0.46* SLR <sub>oil</sub> : 10 SLR: 0.46*	Carbonized Rice Chaff Sulfonated with H <sub>2</sub> SO <sub>4</sub> 1.5 wt.% of RBL	Magnetic Stirrer <i>Stirring not Specified</i> Hotplate	T: 65 P: Ambient t: 6	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : - Y <sub>P</sub> : 97.2 C <sub>AE</sub> : -	[121]	
M: 0-3 F: 30-40	Methanol: 1* SLR <sub>oil</sub> : 12 SLR: 1*	HClSO <sub>3</sub> -ZnO <sub>2</sub> 6 wt.% of RBL	<i>Stirring not Specified</i> <i>Heating not Specified</i>	T: 120 P: - t: 12	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : - Y <sub>P</sub> : >92 C <sub>AE</sub> : -	[122]	
M: - F: 22	Step 1 Ethanol Step 2 Methanol SLR <sub>oil</sub> : 8 / 6 SLR: -	Fe <sub>2</sub> (SO <sub>4</sub> ) <sub>3</sub> 3 wt.% of RBL KOH 1.75 wt.% of RBL	450 RPM <i>Heating not Specified</i>	T: Reflux / 60 P: Ambient t: 3 + 1	SL: n.a. <sup>f</sup> RL: -	Y <sub>S</sub> : - Y <sub>L</sub> : - Y <sub>P</sub> : - C <sub>AE</sub> : 96.6	[120]	

<sup>a</sup> Entries to the table are calculated based on available information to facilitate comparison; <sup>a</sup> Rice bran lipids quality in terms of moisture content (M, wt.%), free fatty acid content (F, wt.%); <sup>b</sup> Alkyl donor and co-solvent relative to the mass of lipid or oil used (cm<sup>3</sup>/g), solvent loading as expressed in terms of solvent-to-lipid molar ratio (SLR<sub>oil</sub>), solvent-to-lipid volume to mass ratio (SLR, cm<sup>3</sup>/g); <sup>c</sup> Temperature (T), pressure (P), and time (t); <sup>d</sup> Space loading (expressed as the reactor volume to the amount of biomass loaded, cm<sup>3</sup>/g), and reactor loading (percentage of the reactor volume occupied by the reaction mixture); <sup>e</sup> Yields expressed as the amount of FFAE relative to the solid (Y<sub>S</sub>), relative to the total lipids (Y<sub>L</sub>), and relative to the theoretical maximum amount of alkyl ester (Y<sub>P</sub>), with purity (C<sub>AE</sub>) expressed as the alkyl ester content; <sup>f</sup> not specified (n.s.) and not applicable (n.a.); <sup>g</sup> incomplete information to allow estimation

are required (Table 3). Although acid catalysts like H<sub>2</sub>SO<sub>4</sub> are not sensitive to FFA, its catalytic activity is much less than conventional bases used. The main advantage of using an acid catalyst is its ability to catalyze the esterification of FFA, allowing the simultaneous esterification and transesterification of FFA-containing RBL sample. In the work of Zullaikah et al. [30], at the same methanol to oil molar ratio of 10, DDRBO samples with FFA over 63% could achieve products with FAME contents over 90% within 8 to 24 h, with samples having lower FFA requiring much longer reaction times. With samples having different FFA content, comparison of reaction performance is not straightforward and should not be done at fixed alcohol to total fatty acid ratio with samples having more triglycerides requiring more alcohol to ensure complete conversion. In a later work by Ju and Zullaikah [94] in 2013, it was found that RBL samples with 59% FFA could be completely converted to FAME within 5 h at higher acid loading of 5 wt% and higher methanol to lipid ratio of 40. Apart from H<sub>2</sub>SO<sub>4</sub>, an organo-tin compound, specifically dibutyltin dilaurate (DBTDL), which is a Lewis acid, has been explored as an alternative catalyst that was found to achieve better yields (~70%) than H<sub>2</sub>SO<sub>4</sub> (~40%) during transesterification [39], as it had good solubility in the reactants. Unfortunately, prolonged reaction time resulted in a drastic decrease in yields to less than 20%, which authors have attributed to the reaction being reversible [39]. Despite the lower activity of H<sub>2</sub>SO<sub>4</sub> than DBTDL, it can achieve much higher equilibrium yields. For conventional processes, the use of H<sub>2</sub>SO<sub>4</sub> would best be adopted for reduction of the FFA content in RBL samples which could be achieved in a short period of 2 to 4 h even at high FFA contents of over 50% [30, 95].

**Homogeneous multi-step acid- and base-catalyzed** Given that conventional one-step approaches could not effectively convert all available fatty acids in the RBL samples to BD, multiple-step approaches have been explored. To reduce the overall reaction time, Zullaikah et al. [30] first carried out the (trans)esterification at methanol to FFA ratio of 5 and 2 wt% H<sub>2</sub>SO<sub>4</sub> to convert 98% of the FFA, reducing the FFA content from 50 to <1% in 2 h at 60 °C. The resulting product containing 65% FAME and 35% acylglyceride (AG) was then further subjected to transesterification at methanol to AG ratio of 9 and allowed to react under a temperature of 100 °C to achieve a product containing 96% FAME after 6 h. An increase in temperature during the transesterification step resulted in reduced reaction time allowing a high degree of conversion (> 95%) within a total reaction time of 8 to 10 h. An alternative approach is to reduce the FFA content to less than 1% with 0.5 to 1 wt% H<sub>2</sub>SO<sub>4</sub> via a single or 2-step esterification process, and use

alkali catalyst in the subsequent transesterification step to convert the remaining AGs, following typical alkali catalyzed transesterification [46, 96–98]. Generally, the total time required for the reactions ranged from 2 to 3.5 h, which is much lesser than using a single catalyst. Although these approaches have been successfully carried out in the lab, these may have to be reassessed for large-scale adaption considering the additional separation processes required between steps due to employment of 2 different types of catalysts, losses in the reactants between steps, and additional pieces of equipment required.

A persistent concern in the use of homogeneous alkali and acid catalyst is the generation of either basic, acidic, or salt-containing wastewater, especially in a paradigm where environmental impact and sustainability are of utmost concern. An environmentally benign and renewable catalyst for (trans)esterification is lipases (E.C. 3.1.1.3), a group of enzymes part of carboxylesterases. The use of free lipase like the one obtained from *Aspergillus niger* is capable of achieving a yield or conversion of ~42% at 30 °C, over a reaction time of 96 h [47]. The use of lipases allows the (trans)esterification to be carried out under milder conditions (30 to 50 °C), but its use is often hindered by its high cost, non-reusability, poor tolerance to alcohols, and low heat stability [99, 100]. A workaround on the common concern for homogeneous catalyst concerning their renewability, separation, recovery, reuse, and cost, is the immobilization of these catalysts or the use of their heterogeneous counterparts. Studies concerning the use of heterogeneous catalysts in the conversion of RBL into BD are summarized in Table 4.

**Immobilized lipase-catalyzed** Commercial immobilized (IM) lipase widely adopted in catalyzing (trans)esterification of lipids to BD include those produced by Novozymes (Novozym® 435, Lipozym® RM-IM, Lipozym® TL-IM), Amano (Lipase PS Amano IM), and by Beijing University of Chemical Technology (textile membrane IM *Candida sp.* 99-125 lipase) [99]. Among the commercialized IM lipases, only Lipase PS Amano IM with lipase from *Burkholderia cepacia* has not been explored in the (trans)esterification of RBL to BD. Around the same time, H<sub>2</sub>SO<sub>4</sub> was investigated for the (trans)esterification of RBL to BD, IM lipases Novozym® 435 (*Candida antarctica* lipase form B), and Lipozym® RM IM (*Rhizomucor miehei* lipase) as catalysts have been explored by Lai et al. [101]. It was found that pretreatment of the IM lipases by soaking in tert-butanol and the lipid substrate demonstrated better activities and higher equilibrium yields. At the same catalyst loading, of 5 wt% relative to the available RBL, higher FAME content (>95%) was achieved using Novozym® 435 as compared to Lipozym® RM-IM (~80%). Furthermore, it was also observed that the presence of wax esters and phospholipids resulted in certain inhibition of Novozym® 435, and

intermittent dosing of methanol was found advantageous in maintaining the activity of the lipase. Novozyme-catalyzed esterification of RB FFA was also observed by Lai et al. [101] to proceed faster as compared to transesterification of RB triglycerides (TG), requiring 1 h and 6 h of reaction time, respectively, to achieve a FAME content of ~95% in the product. However, RBL samples with different proportions of FFA and AGs require a two-step process, to accomplish the esterification and transesterification as the water generated during esterification of the available FFA slowed down the transesterification process of the AGs. Nevertheless, even with a two-step process, up to ~98% FAME in the product could be achieved.

In a separate work by Rodrigues et al. [102], the 3 commercial lipases were found to have a different preference in the type of alcohol used during transesterification of RBO, with Novozym® 435, Lipozym® TL-IM (*Thermomyces lanuginose* lipase), and Lipozym® RM-IM having better affinities to methanol, ethanol, and n-butanol, respectively. Despite introducing excess amounts of alcohol, 2 to 3 times of the stoichiometric requirement, and reaction time for up to 6 h, the yields achieved were only about 35 to 45%, at a catalyst loading of 4 wt%. In the optimization study conducted by Muztaz et al. [47] using Novozym® 435, at a catalyst loading of 1 wt% and methanol to RB TG molar ratio of 6, it required 96 h to achieve a conversion or yield of ~89%. These results further imply possible inhibitory effects of excess alcohols as observed by Lai et al. [101]. This phenomenon was also observed by Li et al. [33] when working with a novel IM lipase from *Candida sp.* 99-125. Furthermore, intermittent dosing of alcohol employed by Lai et al. [101] only required methanol to lipid molar ratio of 1.6 and 3.6 for FFA and TG, respectively, while Li et al. [33] reported the use of methanol to lipid molar ratio of 2 in a lipid sample containing FFA and AGs. Thus, the amount of alkyl donor introduced in enzyme catalyzed (trans)esterification should be near the stoichiometric requirement and favorably introduced in several dosing to minimize possible inhibitory effects.

Apart from the lesser amount of alcohol that would be required to achieve high yields, IM lipases also have the advantage of being reused. Different lipase-catalyzed transesterification systems may differ in the extent of reusing the IM lipases. For instance, Lai et al. [101] reported that Novozym® 435 retained 90% of its catalytic activity after 14 cycles of use, while Lipozym® TL-IM was reused up to 27 cycles while maintaining 82% of its activity [103], and Li et al. [33] reporting the reusability of up to 7 times with their novel IM lipase from *Candida sp.* 99-125 maintaining 90% of its initial activity. Also, it was observed that IM lipases required washing with certain organic solvents to maintain high activities during reuse as by-products like glycerol and water may coat the immobilization matrix or inhibit the lipases and, thus, reduce the overall activity. The most commonly used or

preferred solvents include tert-butanol and n-hexane for Novozym® 435 [101, 102], ethanol, and hexane for both Lipozym® TL-IM and RM-IM [102, 103]. The reusability of IM lipase is an important economic factor for the BD production process as IM lipases may cost from 2.5 USD to as high as 32 USD per kg of BD produced for single use and would require 20 to 320 of reuse without loss in catalytic activity to lower the cost of the enzyme to about 0.1 USD per kg of BD to make it economically competitive [99].

A direct comparison between the performances of different IM lipases is not straight forward. The activities are not only dependent on their affinity to the alcohol used, and substrate quality, but also influenced by various factors including water content in the reaction system [33, 103], temperature [102, 103], and other operational parameters, including but not limited to reactor design, and activation and regeneration protocols [101–103], which often have synergistic effects on the overall performance of a given lipase. A means to ease out comparisons between lipases is to report the activity and the corresponding standard activity assay adopted and indicate enzyme loadings in terms of lipase activity rather than in mass percentages, apart from the reaction conditions and systems employed. A detailed and thorough review of IM lipase-catalyzed BD production using various substrate was written by Zhao et al. [99] and accounts not only for the technical aspect in IM lipase-catalyzed BD production but also the economic implications and its current state in terms of industrialization.

**Heterogeneous alkali/base-catalyzed** Compared to IM lipases, the exploration and use of heterogeneous alkali catalysts came much later around 2009. Generally, these catalyst may further be classified as alkaline (CaO [104–106]), transition metal (ZnO [107]), or mixed-metal (Mg-Al-based [36, 108], ZnO-based [107], ZrO<sub>2</sub>-based [109]) oxides, hydrotalcite [36], ion-exchange resins (PA306S [110], and SBA-15 [111]), zeolite-based [35, 112], impregnated or activated carbon [113], and Ni-Schiff base chelate promoter with Ni(CH<sub>3</sub>COO)<sub>2</sub>·4H<sub>2</sub>O [114]. Heterogeneous alkali-catalyzed transesterification typically requires 2 to 9 h, 0.5 to 100 wt% of catalyst, and alcohol to oil molar ratio of 5 to 35, achieving yields from 70 to 98% (Table 4). Compared to a homogeneous catalyst, heterogeneous counterparts have lower activities generally owing to mass transfer limitations, which would require either more catalyst, longer reaction time, or higher quantities of alcohol to overcome. For certain catalysts, even under favorable conditions to catalyze the transesterification of refined RBL, yields achieved may be lower.

Among the many alkaline earth metal oxides, CaO as a heterogeneous catalyst has been the most widely explored primarily owing to its high activity [115]. To address the renewability challenge of a homogeneous alkali catalyst, CaO could be derived from renewable sources including coral

fragments [104], seashells (*Chicreus brunneus* [105]), and eggshells [106]. Calcium in these biological sources is generally in the form of CaCO<sub>3</sub> and would require pretreatment by heating to a temperature between 700 and 1100 °C to produce the desired CaO and remove other impurities [104, 106, 113]. Although the main active component is the same for the three derived catalysts and is capable of achieving yields or FAME content in the product of over 95%, their required catalyst loading, methanol to lipid ratio, and reaction time were very different. For instance, the use of coral-derived CaO required up to 100 wt% catalyst [104] as compared to those derived from seashell and eggshell which only required a catalyst loading of no more than 2 wt% [105, 106]. One main difference is the calcination process adopted, where those requiring lower catalyst loading have derived their catalyst by calcination of the raw material at temperatures of at least 800 °C [106], and as high as 1100 °C [105]. From the study by Rizkianto et al. [106], calcination at temperatures between 800 and 1000 °C did not influence the catalytic activity of the derived CaO, but a slower rate of heating (5 °C/min) during the calcination process and impregnation with silver nanoparticles before calcination was favorable in improving the yield. In the work of Mazaheri et al. [105] with *C. brunneus* shells, it was found that calcination temperatures at 1100 °C resulted in BD with a kinetic viscosity that could meet standard requirements. The actual performance of the heterogeneous catalyst may be influenced by various factors including chemical (functional groups, chemical composition), morphological (geometry and particle size), and textural (pore size, pore volumes, surface area, surface charges) properties of the catalyst, but many of these properties are either not reported or methods of determination are not in consensus. Nevertheless, these catalysts have been reported to be reusable, but would overtime require regeneration by subjecting the catalyst to the calcination process. This type of catalyst could retain its activity, with yields above 90% for about 3 to 5 cycles before eventually deactivating, which could be caused by either the formation for Ca(OH)<sub>2</sub> and subsequently reverting to CaCO<sub>3</sub> [104] or the leaching of calcium into the reaction medium and changes in the morphology of the catalyst [105].

Alkali and alkaline earth oxides or hydroxides exhibit certain solubility in alcohols or the reaction medium. Transition metal oxides like ZnO are reported to be insoluble when used in systems to produce BD and is considered to have low toxicity [107]. Other transition metals include Al<sub>2</sub>O<sub>3</sub> [107] and ZrO<sub>2</sub> [109]. However, these transition metal oxides have lower basicity as compared to alkali or alkaline earth metal oxides but may serve as good porous support [107]. In most cases, transition metal precursors are first calcined at 450 to 550 °C to produce the desired metal oxide support, then impregnated with a more active metal catalyst, and finally calcined at 500 to 600 °C for 2 to 5 h to produce the desired mixed-metal oxide [36, 107, 108]. Although calcination temperature and

time vary between the metal precursors used, appropriate temperature and time should be determined to avoid decomposition of the active agent and annealing of the particles, which would result in poor catalytic activities for mixed metal oxides [107]. Several combinations have been explored including Mg/Al oxide with Cs, Ba, Sr, and La [36], ZnO<sub>2</sub> impregnated with KOH [107], Al<sub>2</sub>O<sub>3</sub> impregnated with KI [108], and ZrO<sub>2</sub>/SiO<sub>2</sub> [109]. In their investigation, Chuayplod et al. [36] have found that impregnation improved the native activity of metal oxide, with Cs impregnated Mg<sub>0.72</sub>Al<sub>0.28</sub> oxide being more active when compared to those impregnated with La, yielding 62 and 50% methyl butyrate, respectively, during the methanolysis of tributyrates as compared to the native Mg<sub>0.72</sub>Al<sub>0.28</sub> oxide. To avoid the need of carrying out calcination of the metals, it is also possible to prepare mixed metal catalyst in hydrotalcite form via alkali-free co-precipitation to produce Mg<sub>0.69</sub>(Al)<sub>0.18</sub>La<sub>0.13</sub> which could achieve methyl butyrate yields of up to 98% in 3 h at a catalyst loading of 1.5 wt% and alcohol to lipid ratio of 20. Both Al<sub>2</sub>O<sub>3</sub> impregnated with KI and ZnO impregnated with KOH were found to have marked increases in their basicity expressed as basic sites, which were 1.4 and 7.9 mmol g<sup>-1</sup>, respectively [107, 108]. Compared to native ZnO which is found to have a basic site of 3.6 mmol g<sup>-1</sup> [107], no basic sites were detected from Al<sub>2</sub>O<sub>3</sub> [108]. Both reports indicate that the active agent apart from the native metal support is K<sub>2</sub>O which was expected to form during calcination [107, 108]. Another mixed-metal oxide is ZrO<sub>2</sub>/SiO<sub>2</sub>, which was successfully synthesized by Fatimah et al. [109]. Interestingly, a comparable catalyst could be produced replacing commercial sources of SiO<sub>2</sub> with silica rich ash derived from bamboo leaves. Although the synthesis and subsequent use of mixed-metal oxides to convert RBL to BD were successfully carried out in the above studies, achieving yields or products with FAME contents of over 95%, their reusability were not reported.

Apart from metals, ion-exchangers based on polymeric resins, silica, and zeolite have also been explored. Strong base anion (SBA) exchangers like DIANION™ PA306S (trimethyl ammonium functionalized Styrene-divinylbenzene copolymer) [110] and SBA-15 (Mesoporous SiO<sub>2</sub>) [111] have been used in the transesterification of AGs in RBL with alcohol. In the work of Shibasaki-Kitakawa et al. [110], it was found that SBA resins were capable of adsorbing FFA in the reactant and the later by-product glycerol. This inherent characteristic of SBA may be taken advantage of not only to catalyze the reaction but also as a simultaneous purification process. However, the use of SBA like that of PA306 would require a high catalyst loading of over 70 wt%. For SBA-15, only 0.1 wt% was required but was used along with 1 wt% loading of NaOH [111]. Although Nrayama et al. [111] have shown that the addition of SBA-15 in the reaction mixture could improve the yield from ~80% with 1 wt% NaOH alone to a yield of 91% in the presence of SBA, there was no explicit

information regarding the activity of pure SBA-15. Ion-exchangers are known to be reusable through regeneration with solutions containing the proper counter ions. The use of SBA normally required activation or regeneration by soaking or washing with sodium hydroxide solution. However, no explicit report of its reusability data and activity retention of regenerated resins have been reported after using them as a catalyst for the transesterification of RBL.

Unlike ion-exchange resins which would need to be synthesized, zeolites could be sourced naturally and are considered an abundant and low-cost resource [112]. Zeolites are formed by three-dimensional frameworks of aluminosilicate and are known to have a uniform porous structure having acidic sites [35, 112]. To use zeolites as a base catalyst for transesterification of RBL, they are modified by impregnation with K<sub>2</sub>CO<sub>3</sub> [35] or KNO<sub>3</sub> [112], and subsequently calcined. Compared to KNO<sub>3</sub>-modified zeolite, which can achieve a FAME yield of ~83% with a catalyst loading of 10 wt% and methanol to lipid ratio of 12 in 4 h [35], modification of zeolite with K<sub>2</sub>CO<sub>3</sub> resulted in better yields (~98%), required lesser catalyst (4 wt%), lower methanol to lipid ratio of 10, and a shorter reaction time of 3 h [112]. The observed difference in the performance may be owing to the amount of potassium attached to the modified zeolite, with KNO<sub>3</sub>-modified zeolite reported to have ~4.8 wt% while K<sub>2</sub>CO<sub>3</sub>-modified zeolite having ~11.5 wt%. The extent of incorporating potassium may be influenced by the quality of the natural zeolite obtained and the pretreatment and modification protocols adopted. Prolonged reaction time using K<sub>2</sub>CO<sub>3</sub>-modified zeolite was found to result in a decrease in BD yield and is attributed to the possible reversal of the reaction [112]. Despite the high yields achieved using K<sub>2</sub>CO<sub>3</sub>-modified zeolite under favorable conditions, its reusability is not reported.

Owing to concerns on renewability of the catalyst and related raw materials, activated carbon, which could be derived from biomass, has also been used as catalyst support and applied in the transesterification of RBL. Specifically, palm kernel shell was impregnated with 25 wt% of each of the two widely used base catalyst KOH and CaO and calcined at 500 °C for 5 h to produce the desired activated carbon having a basic site of 1.86 mmol g<sup>-1</sup> [113]. Despite the low basic sites as compared to potassium activated or doped transition metals like ZnO and Al<sub>2</sub>O<sub>3</sub>, its performance as a catalyst is found to be comparable. Unfortunately, further comparisons could not be possible because there is no information regarding the textural properties, and the reusability of the catalyst was not investigated. Most recently, a novel catalyst developed is an insoluble organo-nickel (0.5 wt% Ni-Schiff base chelate promoter with 1 wt% Ni(CH<sub>3</sub>COO)<sub>2</sub>·4H<sub>2</sub>O loading) material for transesterification under H<sub>2</sub> atmosphere [114]. With the use of such catalyst, high yields of up to 93.5% could be achieved at 65 °C for 2 h, and with a methanol to RBO ratio of 6, which is comparable with homogeneous base catalyst.



However, after the 4th cycle of use, the yield dropped to less than 72%. The use of such catalyst is still in its developmental stage and requires multiple and long synthesis steps, without a specific reactivation process of deactivated catalyst as compared to metal oxides and anionic exchangers.

Generally, metal oxides could be adopted as heterogeneous base catalyst, but would require impregnation of an active agent and/or activation at high temperatures of over 500 °C. In addition, these types of catalyst also suffer from deactivation via contamination or blockage of active sites, and/or leaching of active sites, which would require reactivation or regeneration steps. Similar to their homogeneous counterparts, heterogeneous base catalysts are mostly employed in the transesterification of refined or pretreated RBL with little to no FFA when possible. Contrary to most understanding that base catalyst could not be used in the esterification of FFA as it would end up in saponification, the main culprit that leads to such an undesirable reaction is the presence of water and is most evident with alkaline bases and at temperatures below the boiling point of water. The continuous removal of water allows the formation of esters from FFA and alcohol even with the use of a base catalyst [116–118]. Since most alcohols used such as those in BD production have boiling temperatures less than that of water, base catalyzed esterification with these alcohols is seldom or not explored at all. However, glycerol that is produced during the transesterification of AGs has a normal boiling point of ~290 °C, which could be recycled and used to reduce the FFA content of unrefined lipids to make it suitable for subsequent transesterification step. Zeng et al. [119], was able to successfully use 0.1%w/w ZnO as a catalyst in the esterification of FFA in RBL with glycerol to reduce the acid value from 38.14 to 5.17 mg·g<sup>-1</sup> after reacting for 6 h at 200 °C at a stoichiometric dosage of glycerol.

**Heterogeneous multi-step or simultaneous acid and/or base-catalyzed** To carry out esterification of FFA at lower temperatures and adopt the use of short-chain alcohols, reactions are most widely carried out with the aid of an acid catalyst. Like that of their homogeneous counterparts, the transesterification activity of heterogeneous acid catalysts is lower compared to those of bases. These are best adopted for esterification, with the subsequent transesterification carried out either using homogenous [120] or heterogeneous [36, 110] base catalyst. However, the use of the heterogeneous acid catalyst for (trans)esterification has also been reported [121, 122]. Generally, acid catalysts could be classified into heteropoly acid derivatives [36, 123], cation exchanger [110], sulfonated carbon [122], zirconia-based or modified-zirconia [121], and sulfated metal [120]. In most cases, heterogeneous acid or multi-step heterogeneous acid/base-catalyzed RBL transesterification typically requires a much longer time of over 8 h and higher alcohol to RBL molar ratio, as high as 15 to 40, and catalyst loading of 3 to over 100 times more than what is required for homogeneous acids.

Heteropoly acid (HPA) derivatives, specifically Keggin-type HPA such as 12-tungstophosphoric acid (TPA, H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>) supported on an appropriate matrix, are explored in biodiesel production owing to their structural mobility and super acidity [115]. Silica impregnated with TPA (H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/SiO<sub>2</sub>) [36] and cesium-exchanged or cesium salt of TPA (CsH<sub>2</sub>PW<sub>12</sub>O<sub>40</sub>) [123] have been used to esterify RB FFA. At 3 wt% loading, the use of H<sub>3</sub>PW<sub>12</sub>O<sub>40</sub>/SiO<sub>2</sub> can reduce the FFA content of RBO from 11 wt% to less than 1.3 wt% in 2 h at 70 °C with a methanol to oil molar ratio of 10 [36]. In the study conducted by Srilatha [123], CsH<sub>2</sub>PW<sub>12</sub>O<sub>40</sub> loaded at 0.041 g per cm<sup>3</sup> of the reaction mixture; a conversion of 92.4% could be achieved in 4.2 h at 65 °C at methanol to RB FFA molar ratio of 14. It was also indicated in their study that TPA exchanged with more Cs resulted in lower acidity, with CsH<sub>2</sub>PW<sub>12</sub>O<sub>40</sub> reported to have an acidity of 0.840×10<sup>-4</sup> mol NH<sub>3</sub> per g of catalyst, but its catalytic activity decreases to ~77% on the 5th reuse.

Cation exchanger DIANION™ PK208LH (sulfonic acid functionalized styrene-divinylbenzene co-polymer) has also been explored but requires up to ~77 to 100 wt% of catalyst to significantly reduce the RBL with an FFA of 14 wt% to less than 2 wt% [110]. Another type of sulfur-containing acid catalyst is sulfated metal oxides [115]. Ferric sulfate (Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>) [120] has also been used to reduce the FFA content in RBL from 22 to ~3%, at a catalyst loading of 3 wt% for 3 h, under continuous reflux with ethanol to RBO molar ratio of 8. The advantage provided by heterogeneous catalysts over homogeneous catalysts in a multi-step (trans)esterification process is the possibility of directly feeding products from the esterification step into the subsequent transesterification process, without having to neutralize the acid used during esterification [36, 110, 120], but could be passed through silica gel or other water removing agent before transesterification [120].

Single-step (trans)esterification is also possible with the heterogeneous acid catalysts. The synthesis and use of chlorosulfonic acid modified-zirconia were explored by Zhang et al. [121]. In their research, it was observed that chlorosulfonic acid modified zirconia with a total acid site of 6.29 mmol·g<sup>-1</sup> is found to be more active than sulfuric acid modified zirconia, while achieving 100% yield in FAME even at 40 wt% FFA content and initial water content of up to 3 wt% and maintaining at least 92% of its catalytic activity after 3 cycles of use. However, the use of such a catalyst requires much higher temperatures of 120 °C, a longer reaction time of 12 h, and larger methanol to RBO molar ratio of 12. Sulfonic functionalized catalyst can also be derived with biomass-based carbon as support. Rice chaff or RB carbonized under 400 °C for 75 min was sulfonated by Fereidooni et al. [122] to serve as a heterogeneous catalyst. Sulfonated carbonized RB at a loading of 1.5 wt% resulted in a FAME yield of up to 97% at a relatively lower temperature of 65 °C and a shorter time of 6 h



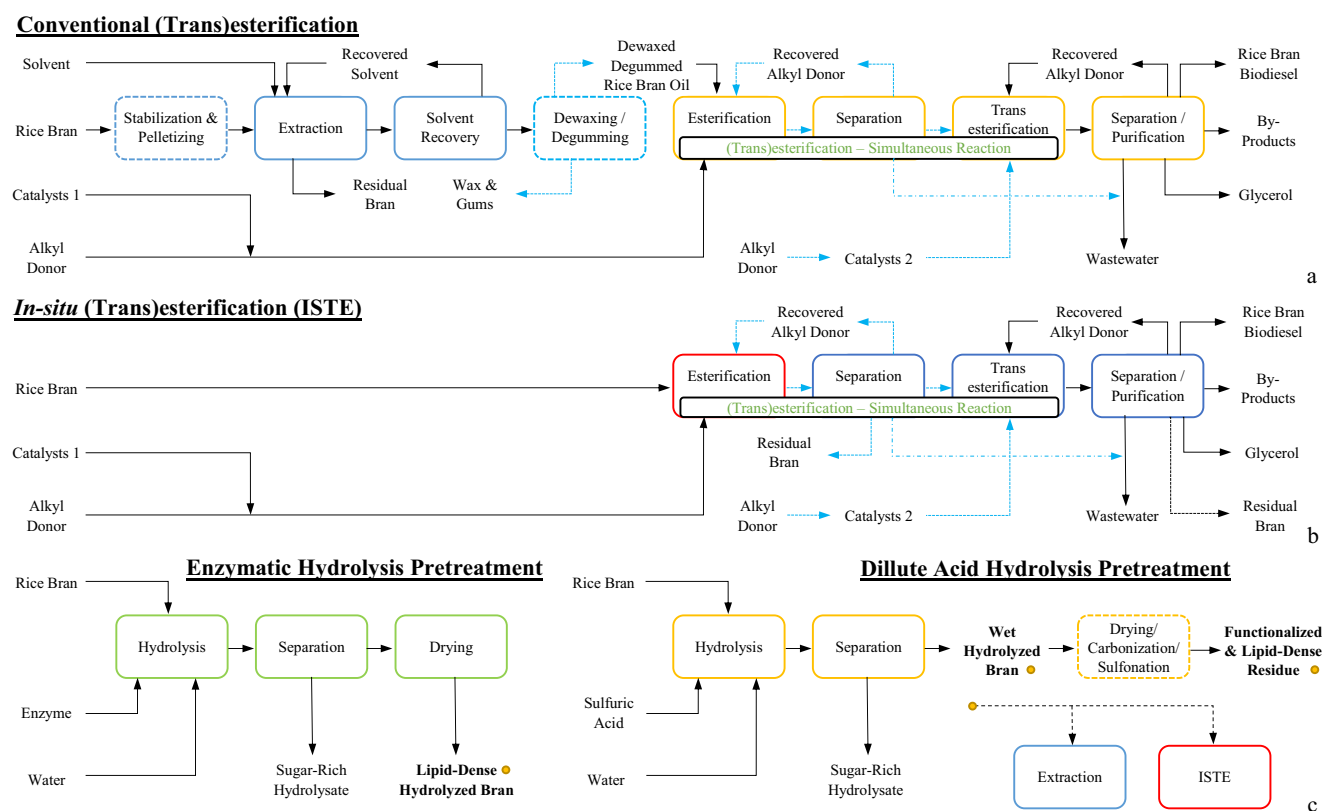
for a reaction carried out at methanol to RBO molar ratio of 10. Such catalytic activity is comparable with sulfuric acid as reported previously by Zullaikah et al. [30], but would require RBO to have an FFA content of >75 wt%. Unfortunately, the FFA content of the RBO (trans)esterified using sulfonated carbonized-RB carried out by Fereidooni et al. [122] was not indicated. This hinders an objective comparison and understanding of how such high yields could be effectively achieved. These types of catalysts require the impregnation of the catalyst supports ( $Zr(OH)_4$  or carbonized biomass) with sulfonating agents (chlorosulfonic acid or sulfuric acid), and calcined or activated at elevated temperatures below boiling temperatures of the activating agents [121, 122]. Heterogeneous acid catalysts adopted in the BD synthesis from RBL are very promising, but most of these catalysts do not have their reusability and regeneration processes reported.

### 3.4.2 *In situ* (trans)esterification and one-pot sequential extraction and (trans)esterification

Industrial BD production largely adopts conventional processing of lipids that requires the pre-extraction and partial or complete refining of extracted lipids (Fig. 5a). As have been previously discussed, the effective extraction of RBL requires the use of

solvents. This also means that at least two types of solvents would be required in the conventional process of BD production from RB. An additional solvent like acetone may be employed if partial refining like degumming and dewaxing is required [30, 101]. The number of solvents used may be avoided through *in situ* (trans)esterification (ISTE), where the alkyl donor is at the same time serving as the extracting solvent in a single process. In a detailed review regarding ISTE by Go et al. [124], ISTE is defined as a process involving the (trans)esterification of lipids within the biomass matrix or as the lipids are being extracted, and the simultaneous extraction of products and/or lipid constituents without a distinction between extraction and reaction steps. In the same review for unit processes with a distinct extraction step and the conversion of lipids to BD occurring entirely outside of the biomass, this is further referred to as pseudo-ISTE or one-pot sequential extraction and (trans)esterification (OSETE). Adopting ISTE or OSETE for BD production from RB could eliminate various process steps like extraction and refining of lipids while maximizing the conversion of available FA into BD (Fig. 5b). Published research works on the catalyzed ISTE and OSETE of RBL are summarized and presented in Table 5.

**In situ transesterification of RBL** Like conventional BD synthesis, ISTE adopts the use of similar catalysts and solvents, where esterification and transesterification steps could either



**Fig. 5** General process flow schemes of conventional (a), in situ (trans)esterification (b), and hydrolysis pretreatment of rice bran (c). *To be printed in black and white*



be done simultaneously or sequentially. The ISTE of RBL was introduced by Özgül-Yücel and Türkay [29, 125, 126] in their series of works published between 1993 and 2003. The use of methanol was found to be most reactive and effective in the *in situ* esterification of high acid value RBL when compared with other monohydroxyl alcohols [125]. The use of methanol was recommended for the *in situ* process to deacidify high acid value RBL owing to its selectivity for FFA extraction over triglycerides, leaving all triglycerides in the RB and obtaining products having higher purity of desired esters [29]. It was also found that 5 cm<sup>3</sup> of H<sub>2</sub>SO<sub>4</sub> per 50 grams of RB or ~18.4 wt% of acid relative to RB was most suitable, to avoid alteration of the bran and obtaining a residual bran with increased stickiness that hinders separation [126]. From the same study, it was observed that increasing from 20 to 65 °C improved FAME yield, while moisture contents from 2 to 13 wt% in RB and an SSR from 4 to 5 cm<sup>3</sup>·g<sup>-1</sup> found to have minimal influence on the yield and ester content in the product. However, higher SSR resulted in lesser residual lipids in the RB processed. Apart from esterification, transesterification could also occur as some of the acylglycerides are extracted during the *in situ* process. Rice bran with lipids of higher acid value was more easily converted to alkyl esters as compared to those with lower acidity (Table 3) for acid-catalyzed *in situ* (*trans*)esterification. However, the use of ethanol greatly improves the conversion (> 90%) of lipids to esters as compared to methanol with conversions ranging only from 24 to 86% for RB having lipids with FFA content from 19 to 68 wt%, but would require higher acid loading of up to 27.6 wt% of RB (7.5 cm<sup>3</sup> H<sub>2</sub>SO<sub>4</sub> per 50 g of RB) [29]. Adopting the same concept and taking advantage of methanol's selectivity, Gunawan et al. [19] later in 2011 optimized the process with RB containing ~24 wt% FFA and using methanol at an SSR of 5 cm<sup>3</sup>·g<sup>-1</sup> at 60 °C with a catalyst (H<sub>2</sub>SO<sub>4</sub>) loading of 27.6 wt% of RB for 1 h, to produce products with high FAME content (~97%), but low FAME yield of ~50% relative to the potential maximum FAME.

In 2009, Yustianingsih et al. [127] adopted the use of indirect ultrasonication during the ISTE of RBL, with methanol as the alkyl donor at an SSR of 10 cm<sup>3</sup>·g<sup>-1</sup> at 60 °C with a catalyst (H<sub>2</sub>SO<sub>4</sub>) loading of 27.6 wt% of RB for 4 h, to achieve a reaction yield of 75 to 83% for RB with lipids containing 14 to 48 wt% FFA. Ultrasonication at a constant temperature only improved the initial reaction rate but did not improve the final yields and conversions of the RBL in an ISTE process. The use of an acid catalyst such as H<sub>2</sub>SO<sub>4</sub> in conventional BD synthesis was best adopted for esterification, with transesterification typically requiring more alcohol and prolonged reaction time. However, for RB with lipids containing 3 wt% FFA with methanol as the alkyl donor, an ISTE reaction yield of only 75% could be achieved, with a FAME content of ~90% even at a higher SSR of 15 cm<sup>3</sup>·g<sup>-1</sup> at 60 °C

with a catalyst (H<sub>2</sub>SO<sub>4</sub>) loading of 27.6 wt% of RB for 4 h [128]. Although acid-catalyzed ISTE of RBL could achieve products with high FAME contents, the available fatty acids are not effectively and efficiently converted and recovered.

To improve the overall process yield of ISTE of RBL, Shiu et al. [128] proposed a two-step acid-base catalyzed ISTE. The proposed approach involved the reaction being carried out for 15 min at 60 °C with an acid-catalyzed process (SSR = 15 cm<sup>3</sup>·g<sup>-1</sup> and catalyst (H<sub>2</sub>SO<sub>4</sub>) loading of 27.6 wt% of RB) to reduce the FFA content to less than 1 wt% and the subsequent addition of excess alkaline methanol (8-M NaOH) at 8 cm<sup>3</sup>·g<sup>-1</sup> RB and allowed the reaction to proceed for an hour. The excess amount of base added is to facilitate neutralization and the remaining as a catalyst. The proposed approach was found to achieve high reaction yields of 97% and products having FAME content of over 95%. The said process has been tested to be applicable for RB containing FFA from 3 to 30 wt%. Compared to conventional acid-base catalyzed sequential esterification and transesterification, the two-step acid-base catalyzed ISTE avoids the separation of methanol and removal of water generated after the esterification step. Although not explicitly indicated, the influence of water generated from the esterification step on the later transesterification step may have been reduced owing to the large excess of methanol present and the presence of Na<sub>2</sub>SO<sub>4</sub> and rice bran matrix, which could dilute and absorb the water generated, respectively. The use of such a process requires large quantities of methanol with an overall SSR of 24 cm<sup>3</sup>·g<sup>-1</sup> RB. However, Shiu et al. [128] have argued that methanol could easily be separated and recovered from the products with the co-generation of a useful by-product Na<sub>2</sub>SO<sub>4</sub>. In addition, adopting such process was also later found to enable the co-extraction of oryzanol, which was found in the final product mixture [14].

#### One-pot sequential extraction and (trans)esterification of RBL

Over the years, some variations of the ISTE have been proposed. In view of catalyzed processing of RBL, two research groups led by Xuefeng Ding [32, 129] and Sitti Zullaikah [130, 131] explored the use of a Soxhlet extractor to carry out the apparent *in situ* process. In the attempt made by Lei et al. [32, 129], they explored the use of methanol and ethanol to process RB with lipids having an FFA content of up to 80%. The reactions were carried out with RB packed in a Soxhlet extractor and while methanol acidified with H<sub>2</sub>SO<sub>4</sub> placed in the distillation flask as the extraction and reaction commenced under continuous reflux, overall (trans)esterification reaction yields achieved were ~88% after 5 h for methanol [32] and ~93% for ethanol after 7 h [129] at an acid loading of ~1.5 wt% of the RB or ~7.9 wt% relative to the available lipids. In the work of Zullaikah et al. [130] and Yasmin et al. [131], even with

a larger excess in methanol at an SSR of 15, from ~1.84 wt% of the RB to ~9.2 wt% only improved the FAME content in the product from 67 to 75%. Although the FAME yields expressed relative to the amount of RB processed were reported to be ~17% in both works by Zullaikah's group [130, 131], it cannot be verified whether the available fatty acids were completely converted or not, since the lipid content and initial FFA content were not reported. However, in the works reported by Xuefeng Ding's group [32, 129], they have noticed that alcohols have relatively poor extracting power and the addition of petroleum ether as a co-solvent at an alcohol to petroleum ether volume ratio of 0.5 and an overall SSR of  $\sim 5 \text{ cm}^3 \cdot \text{g}^{-1}$  RB allowed the complete extraction of the extractable lipids, but at the expense of lesser extent of conversion of the available acylglycerides. To complete the reaction, Lei et al. [32, 129] introduced alcohols containing NaOH directly to the extracted and pre-esterified lipids to neutralize the available acids and with the excess base catalyzing the transesterification reaction to achieve an overall reaction yield or conversion of over 95% after a total reaction time of 6.5 h and 8.5 h for methanol and ethanol, respectively. To facilitate the removal of water from neutralization and the esterification step, the same researchers replaced the RB-packed extractor with one packed with CaO. Furthermore, the researchers reported that the CaO served not only as a desiccant, but could also be further converted into micro-/nanostructured rod-shaped  $\text{CaCO}_3$  via carbonation, as a value-added product. Even though most researchers claimed that the process was partly *in situ*, this may not be the case because the extraction and reaction steps are actually occurring in different chambers of the single equipment setup, and given that the boiling point of sulfuric acid is over 250 °C, it is unlikely to be evaporated along with the alcohol. Thus, these processes are in principle sequential extraction and transesterification of RBL, which may be considered an OSETE. Another point of consideration when using a Soxhlet extractor is the actual reaction temperature. The extraction may be occurring near the boiling point of the solvent or alkyl donor used but the (trans)esterification may be happening at a much higher (>100 °C) temperature if a heating mantle was used instead of a temperature-controlled heating bath, owing to higher boiling points of the ester and solvent mixture. Provided that proper optimization of a true ISTE or an OSETE is done, it could potentially reduce processing time, required processing steps, and overall solvent requirements, with its main challenge being the use of high catalyst loading.

### 3.4.3 Supercritical and subcritical solvent (trans)esterification

One common and consistent endeavor in the development of the biodiesel production process is the minimization of

catalyst required, ease of catalyst separation, and minimized usage of solvent. These could not be achieved all at the same time and without certain compromise, but there are developments with favorable outcomes. The use of alkyl donors under subcritical or supercritical conditions has been explored in the recent 2 decades. Subcritical conditions are temperature and pressure conditions above the normal boiling point of the material but below its critical point, while supercritical conditions are temperature and pressure combinations above the critical conditions. For reactive systems such as those in biodiesel synthesis, the presence of lipids and other reaction components also influence the required temperature and pressure to achieve sub- or supercritical reaction conditions [124]. Supercritical transesterification was explored much earlier and was pioneered by Saka and Kusdiana [132] in 2001. The successful synthesis of biodiesel with rapeseed and supercritical methanol by Saka and Kusdiana [132] led to various developments in supercritical (trans)esterification using various alcohols [133], alkyl carboxylates [134, 135], and dimethyl carbonate [136]. Further developments included the 2-step processes involving hydrolysis [137, 138] or acidolysis [139] with acetic acid under subcritical conditions and subsequent esterification under supercritical conditions to reduce the severity of reaction conditions. These developments initiated by Shiro Saka's group spanned from 2001 to 2012. Although subcritical (trans)esterification conditions have also been explored around the same time, it was in 2013 when catalyst-free (trans)esterification was reported to be possible under such condition by Ju et al. [140]. Additional developments on subcritical (trans)esterification conditions involving the exploration of catalytic activities of water [141] and acetic acid [142] under such conditions, specifically their application in ISTE [143–147], were initiated by Yi-Hsu Ju's groups from 2012 to 2019. (Trans)esterification under supercritical conditions is often criticized for its high-temperature requirement of over 270 °C, while subcritical conditions suffer from the requirement of high solvent-to-lipid ratio (SLR) [124]. However, under these conditions, the use of conventional catalysts could be avoided, and the reaction inhibition by the presence of water and/or FFA is of lesser concern. The conversion of RBL to biodiesel under sub- and supercritical conditions has also been explored by different researchers (Table 6) from 2009 onwards.

**Supercritical (trans)esterification of RBL** The (trans)esterification of DDRBO was investigated 8 years after the introduction of supercritical (trans)esterification technology. In the work of Kasim et al. [148], a reaction yield of ~95% was achieved in 5 min at 300 °C with methanol to oil molar ratio of 27:1 and pressurized with  $\text{CO}_2$  to a pressure of 30 MPa. Although high yields could be achieved, it was also noted that the final product contains only about 89.5% FAME with ~3% hydrocarbons resulting from the decomposition of AGs. The

**Table 6** Conventional and *in-situ* (trans)esterification of rice bran lipids under sub- and supercritical solvent conditions<sup>a</sup>

Feedstock Quality <sup>a</sup>		Alkyl Donor and Co-solvent (cm <sup>3</sup> /g) <sup>b</sup>		Solvent Loading <sup>b</sup>		Catalyst Loading		Mixing / Irradiation / Heating		T (°C) / P (MPa) / t (h) <sup>c</sup>		Space Loading / Reactor Loading <sup>d</sup>		Yields and Purity <sup>e</sup>		Ref
M:	n.s. <sup>f</sup>	Methanol:	n.a.	SLR <sub>sp</sub> :	271	<i>No catalyst was used</i>		n.s.	T:	300	SL:	- <sup>g</sup>	Y <sub>L</sub> :	-	[148]	
L:	n.a. <sup>f</sup>	CO <sub>2</sub> :	-	SLR:	11.5				P:	30	RL:	-	Y <sub>P</sub> :	94.8		
F:	12.3			SSR:	n.a.				t:	~0.083			C <sub>AE</sub> :	89.5		
P:	n.s.															
M:	n.s.	Methanol:	2*	SLR <sub>sp</sub> :	271	<i>No catalyst was used</i>		n.s.	T:	300	SL:	-	Y <sub>S</sub> :	-	[148]	
L:	17.4	CO <sub>2</sub> :	-	SLR:	11.5				P:	30	RL:	-	Y <sub>L</sub> :	-		
F:	12.3			SSR:	~2*				t:	~0.083			Y <sub>P</sub> :	51.3		
P:	n.s. <sup>f</sup>												C <sub>AE</sub> :	52.5		
M:	10.2	Methanol:	4.8	SLR <sub>sp</sub> :	-	Acetic Acid		No mixing	T:	250	SL:	-	Y <sub>S</sub> :	15.5	[14]	
L:	18	CO <sub>2</sub> :	-	SLR:	~33*	25 vol.% of methanol		Electric Resistive-Heating	P:	12	RL:	-	Y <sub>L</sub> :	85		
F:	18 - 80	Acetic Acid:	1.2	SSR:	6	126 wt.% of solid*		Heating at 5 °C/min	t:	1 (1.75) h			Y <sub>P</sub> :	99		
P:	n.s.												C <sub>AE</sub> :	-		
M:	4	Methanol:	5	SLR <sub>sp</sub> :	-	Sulfonic Sites		No mixing	T:	185	SL:	~12*	Y <sub>S</sub> :	36.3	[15]	
L:	41.4			SLR:	~12*	1.1 mmol/g solid		Electric Resistive-Heating	P:	2.5	RL:	66	Y <sub>L</sub> :	87.8		
F:	32.9			SSR:	5			Heating at 4 °C/min	t:	0 (0.55)			Y <sub>P</sub> :	94.8		
P:	n.s.												C <sub>AE</sub> :	-		
M:	8.7	Methanol:	5	SLR <sub>sp</sub> :	-	Sulfonic Sites		No mixing	T:	165	SL:	~12*	Y <sub>S</sub> :	43.5	[16]	
L:	48.41			SLR:	~10*	1.6 mmol/g solid		Electric Resistive-Heating	P:	1.6	RL:	61	Y <sub>L</sub> :	89.9		
F:	n.s.			SSR:	5			Heating at 5 °C/min	t:	0 (0.45)			Y <sub>P</sub> :	98		
P:	n.s.												C <sub>AE</sub> :	-		
M:	13	Methanol:	6	SLR <sub>sp</sub> :	-	<i>No catalyst was used</i>		No mixing	T:	200	SL:	~17*	Y <sub>S</sub> :	-	[31, 53]	
L:	n.s.	CO <sub>2</sub> :	-	SLR:	~8*			n.s.	P:	4	RL:	~82*	Y <sub>L</sub> :	65.2		
F:	37	Water:	2	SSR:	-				t:	3			Y <sub>P</sub> :	-		
P:	n.s.												C <sub>AE</sub> :	73.5		
M:	n.s.	Methanol:	4	SLR <sub>sp</sub> :	-	<i>No catalyst was used</i>		No mixing	T:	200	SL:	~17*	Y <sub>S</sub> :	-	[152]	
L:	11.8	CO <sub>2</sub> :	-	SLR:	~34*			n.s.	P:	10	RL:	~82*	Y <sub>L</sub> :	98		
F:	40.4	Water:	4	SSR:	~8*				t:	3			Y <sub>P</sub> :	-		
P:	n.s.												C <sub>AE</sub> :	83.9		
M:	n.s.	Ethanol:	6.4	SLR <sub>sp</sub> :	-	<i>No catalyst was used</i>		No mixing	T:	160	SL:	~30*	Y <sub>S</sub> :	-	[151]	
L:	15.5	CO <sub>2</sub> :	-	SLR:	64.5*			Electric Resistive-Heating	P:	8	RL:	~37*	Y <sub>L</sub> :	-		
F:	63.5	Water:	1.6	SSR:	~10*			Heating at 10 °C/min	t:	2			Y <sub>P</sub> :	79.8		
P:	n.s.	Ethyl Acetate:	2										C <sub>AE</sub> :	60.6		
M:	n.s.	Methanol:	-	SLR <sub>sp</sub> :	-	<i>No catalyst was used</i>		No mixing	T:	200	SL:	-	Y <sub>S</sub> :	-	[154]	
L:	n.s.	CO <sub>2</sub> :	-	SLR:	-			Electric Resistive-Heating	P:	4	RL:	-	Y <sub>L</sub> :	~80		
F:	n.s.	Water:	-	SSR:	-				t:	7			Y <sub>P</sub> :	-		
P:	n.s.												C <sub>AE</sub> :	-		

<sup>a</sup> Entries to the table are calculated based on available information to facilitate comparison; <sup>b</sup> Rice bran quality in terms of moisture content (M, wt.%), lipid content (L, wt.%), free fatty acid content (F, wt.%), and particle size (P, mm); <sup>c</sup> Alkyl donor and co-solvent relative to the mass of dry solid (cm<sup>3</sup>/g), solvent loading as expressed in terms of solvent-to-lipid molar ratio (SLR<sub>sp</sub>), solvent-to-lipid volume to mass ratio (SLR, cm<sup>3</sup>/g), and solvent-to-solid volume to dry biomass ratio (SSR, cm<sup>3</sup>/g); <sup>d</sup> Temperature (T), pressure (P), and time (t); <sup>e</sup> Space loading (expressed as the reactor volume to the amount of biomass loaded, cm<sup>3</sup>/g), and reactor loading (percentage of the reactor volume occupied by the reaction mixture); <sup>f</sup> Yields expressed as the amount of FFAE with respect to the solid (Y<sub>S</sub>), with respect to the total lipids (Y<sub>L</sub>), and with respect to the theoretical maximum amount of alkyl ester (Y<sub>P</sub>), with purity (C<sub>AE</sub>) expressed as the alkyl ester content; <sup>g</sup> not specified (n.s.) and not applicable (n.a.); <sup>h</sup> incomplete information to allow estimation; <sup>i</sup> total time including heating



amount of methanol used in the (trans)esterification of DDRBO is much higher than what is typically required for supercritical methanol (trans)esterification, which is only about 40 [132]. The use of high amounts of methanol was to enable comparison with supercritical methanol ISTE of BRL, which could be considered an early attempt to adopt supercritical methanol in ISTE. Unfortunately, adopting ISTE under supercritical methanol conditions for RBL only allowed a reaction yield of ~51% [148]. The low yield was attributed to degradation and other side reactions of the raw material with part of the bran matrix being liquefied and/or carbonized.

Apart from methanol, ethanol has also been used in supercritical (trans)esterification of refined RBO (RRBO) [149] and RBO fatty acid distillate (RBOFAD) [150]. Vieitez et al. [149] carried out supercritical ethanol (trans)esterification of RBO using a 39-cm<sup>3</sup> tubular reactor with ethanol to total fatty acid molar ratio of 40:3, 350 °C, and 20 MPa, over a wide range of flow rates (0.8–2.5 cm<sup>3</sup>·min<sup>-1</sup>) and at different FFA content (0–100 wt%). The authors observed that lower flow rates resulted in higher FAEE in the product, but higher flow rates resulted in lower decomposition. Likewise, the presence of FFA avoids decomposition and required shorter residence time. The addition of FFA effectively reduced the amount of glycerol in the system which promoted several side reactions under such condition, where the authors have indicated that a decrease in the degree of decomposition from as high as 16% to less than 5% was observed as FFA content was increased from 0 to 100 wt%. Moreover, RRBO required a residence time of 39 min to achieve high FAEE content of ~75%, while RBO with FFA over 50 wt% required 16–26 min to achieve an FAEE content of ~95%. These findings are favorable in the actual use of RBL because RBL tends to have high FFA owing to the hydrolytic activity of indigenous lipases as previously discussed. Moreover, an extension to its applicability is the use of fatty acid distillate (FAD) produced during the refining of RBL. Akkarawatkoosith et al. [150] explored the use of RBOFAD which contained 65.5 wt% FFA for (trans)esterification with ethanol at an ethanol to oil molar ratio of 5 in a microreactor at 300 °C and 8.5 MPa over a residence time of 24 min. The FAEE content under the local optimum condition was only 75%, which does not meet standard requirements of ≥96.5 wt% of alkyl ester. In the same study, reaction additives or auxiliaries such as iso-propanol and acetic acid were also explored but were not effective in improving the biodiesel content (ester content) in the product. The decrease in FAEE content upon the addition of iso-propanol and acetic acid was attributed by the authors as a result of dilution, either slowing down the reaction process and/or formation of other products apart from FAEE. Thus, ester content or purity should not be the only response considered but should also account for the actual process yield and conversion, to elucidate the influence of such additives/auxiliaries. Nevertheless, the results of these studies provide

possibilities of converting RBL to BD without the need for a catalyst.

**Subcritical (trans)esterification of RBL** The catalyst-free (trans)esterification of pre-extracted RBL under subcritical conditions is less explored. This is probably owing to the high requirements of alcohol and long reaction time required if no catalyst is employed. However, adopting the use of alcohols under subcritical conditions in ISTE may be a good way to take advantage of the excess alcohols and address the inherent drawbacks in (trans)esterification of pre-extracted RBL under subcritical conditions. Two research groups led by Yi-Hsu Ju [14–16, 31] and Sitti Zullaikah [31, 130, 151–153] have explored this possibility in about the same time from 2014 and onwards (Table 6).

Acting as a solvent and as a catalyst, acetic acid was added along with methanol to decrease the overall solvent requirement to as low as 6 cm<sup>3</sup>·g<sup>-1</sup> and the temperature to 250 °C, while achieving a yield of ~15.5 g of FAME per 100 gram of RB processed, which is equivalent to a conversion of ~99% [14]. However, such high conversion was only achieved by pressurizing the system with CO<sub>2</sub>. Reactions carried out under nitrogen atmosphere only achieved a FAME yield of ~67.4 g per 100 g of RB. In addition, oryzanol was also co-extracted during the ISTE of RBL under subcritical condition, with systems pressurized with CO<sub>2</sub> resulting in higher recovery of oryzanol (~143%) than those pressurized with N<sub>2</sub> (~90%) [14]. The presence of carbon dioxide under supercritical conditions may have served as co-solvent, which aided the extraction process and the reaction process. Zullaikah's group on several occasions improved the FAME yield through the addition of CO<sub>2</sub> [31, 151–153] with systems pressurized up to 10 MPa resulted in high yields of up to 98%, relative to the extractable RBL [152]. Also, the presence or addition of water was found to be an effective way to reduce reaction severity, allowing high reaction yields to be achieved at lower temperatures of 200 °C with a reaction time between 3 and 7 h [31, 151–154].

The addition of water during ISTE under subcritical conditions was also found to co-hydrolyze sugars found in RB, but the resulting hydrolysate only had sugar concentrations of less than 1 g·L<sup>-1</sup> [31]. Separate works under the group of Yi-Hsu Ju reported a novel two-step process, with the first step adopting dilute acid hydrolysis to generate sugar-rich hydrolysates (40 to 50 g/L) and lipid dense post-hydrolyzed RB (PHRB) containing ~40 to 50 wt%, and the subsequent (trans)esterification of the PHRB as the second step [15, 16]. Owing to sulfonation occurring during the drying of PHRB, the resulting PHRB was found in their study to be functionalized with sulfonic acid groups which enabled high yields and conversions (> 95%) to be achieved in ~0.5 h with an SSR of as low as 5 cm<sup>3</sup>·g<sup>-1</sup> and through non-isothermal ISTE from 30 to 185 °C at a heating rate of 4 to 5 °C·min<sup>-1</sup>.

Although the (trans)esterification of RBL under subcritical conditions may be most suited only for ISTE, it provides a good balance between the severity of process conditions, while avoiding limitations brought about by the presence of FFA [14] and water [31]. Furthermore, bulk auxiliaries used under such conditions, including acetic acid, water, and CO<sub>2</sub>, could easily be separated and reused. Despite favorable yields and/or conversions having been reported, both sub- and supercritical synthesis of BD from RBL face a challenge in producing a final product of high purity (>96.5%) to meet current standards. This is owing to the formation of degradation products or by-products or the co-extraction of other components in RB during ISTE. These may cause hindrance in the subsequent direct use of the product but could also be leveraged to co-produce by-products of high value. Future developments may have to take these into considerations.

### 3.5 Factors affecting the productivity of biodiesel production from rice bran lipids

Various processes could be adopted to produce BD from RBL, each having their advantage and disadvantage. Although attempts have been made to make comparisons between processes under similar process conditions, these may to some extent have certain biases. Other constraints that are unique to the intended locality where the process will be implemented may also have to be considered. Instead, it would be important to understand the different factors influencing the different processes to better maximize and take advantage of the process adopted. Apart from the quality of RBL and the catalyst type of choice, which both greatly influence the required processing or reaction time, there are other process variables or factors that can affect the actual process productivity. In the following sections, the different factors affecting the productivity of biodiesel production from RBL will be elucidated, based on published works dealing with the conversion of RBL to BD. It should however be noted that most of these identified factors, if not all, are to some extent interdependent and are influenced by one another. Also, productivity in biodiesel production should not only be concerned with the fraction of reactants converted to the desired product and the reaction time but should also account for the optimal use of process equipment and resources.

#### 3.5.1 Mixing, temperature, and irradiation

**Mixing and agitation** In any reaction system, the contact or collision between the reacting species is important as this would increase the probability of reaction to occur and keep the required reaction time to a minimum. Considering that RBL, which is primarily AG, has limited solubility in methanol, its mixing characteristics are important to ensure good contact and dispersion of one phase in another, whereby

maximizing the interfacial area of contact [34]. Although various studies on the (trans)esterification of RBL have looked into the influences of varying agitation speed [34, 155, 156], no consensus could be made regarding desired mixing characteristics that should be achieved apart from the fact that a well-dispersed system is desirable. For instance, Arora et al. [156] found that an agitation speed beyond 500 rpm did not further influence the degree of conversion during the esterification of RBL with an acid value of 24 mg KOH·g<sup>-1</sup> oil, with methanol as alkyl donor, using sulfuric acid as catalyst, and carried out in a 500-cm<sup>3</sup> reactor and agitated with a 1-inch magnetic stir bar. Using heterogeneous catalyst (CaO) in the transesterification of RRBO with ethanol in a 1-L reactor with a propeller-type agitator, it was observed by Sreedhar and Kishan [155] that an optimum agitation speed of 600 rpm was required to achieve high conversion (>70%), and higher agitation speed resulted in lower conversions (<55%). The said decrease was attributed to the observed formation of a vortex during mixing that resulted in the segregation of the reactants and the catalysts and was more evident at higher catalyst loading. Lakshmi et al. [34] carried out a separate and much detailed work on the mixing characteristics, providing details on the geometry of the reactor system involving a 1-L reactor equipped with a 4-bladed flat turbine and operating at 60% of the reactor capacity, where it was found that the critical agitation speed of 750 rpm was required for a non-reacting system and 700 rpm was required for a reacting system. It was also observed that systems having high FFA may actually require lower agitation speed, but in any case, would require the mixing conditions to be either at the transition or turbulent regimes. The difficulty in coming up with a generalized mixing requirement is the lack of empirical information and is further complicated by factors including the quality and composition of RBL, the type and amount of alkyl donor and catalyst loaded, the mutual solubility of reactants, and the physicochemical properties (density, viscosity, and hydrodynamic diameter) of the reaction mixture, as well as the geometrical consideration of reactor system involved.

**Temperature and heating rate** Another means of increasing the probability of collisions between reacting molecules is increasing the reaction temperature. This results in the lowering of viscosity, improved solubility, increase molecular vibration/ionization/protonation [121], or the combination thereof, thus resulting in improved reaction rates, which subsequently shorten the reaction time [88, 156, 157] and could even end up not requiring a catalyst [148–150]. The improved reaction rate due to the increase in reaction temperature also induces the shift in reaction equilibrium [46, 88], generally favoring the forward reaction, given that one of the reactants (alkyl donor) is often provided in excess. The reaction temperature for BD production can generally be divided into 3

regimes (Tables 3, 4, 5, and 6), from ambient conditions to the mixture's normal boiling point, subcritical conditions (normal boiling point to critical point), and supercritical conditions (above the critical point). In most cases, these conditions are based on the properties of the alkyl donor rather than the reaction mixture, which may be different from the actual condition of the reaction system, and thus presenting a grey area in defining the boundary of these regimes [124, 142]. Because reaction rates are improved at higher temperatures, less catalyst dosage or activity is typically required, and lower alkyl donor or solvent loading as reaction temperature is increased. Although higher temperature favors the reaction, it also leads to unwanted side reactions such as saponification for base-catalyzed reactions [46, 50], and potential decomposition at temperatures of 300 °C or higher, with the presence of glycerol and other materials possibly hastening the degradation process [148, 149]. For lipase-catalyzed (trans)esterification, the temperature may have to be kept at an optimum (30 to 50 °C) depending on the lipase used (Tables 3 and 4), to ensure that the lipases are most active while avoiding deactivation [47, 100, 102, 103]. Another factor seldom discussed in conventional (trans)esterification or reactions not carried out under sub- and supercritical regimes is the heating rate, which also requires careful assessment. For (trans)esterification carried out in batch reactors under sub- and supercritical conditions [142], and for ISTE, reaction and extraction could occur during heating [15, 16], even without the presence of a catalyst.

**Irradiation and intensity** Other means of inducing mixing and increase in temperature involve the exposure of the reaction system to ultrasound and/or microwave irradiation. Ultrasound irradiation is the exposure of the reaction system to sound waves above 20 kHz up to 10 MHz. Electrical energy is transformed into heat and vibrational energy by an ultrasonic inducer, where the vibrational energy is in turn transformed into cavitation energy, which, upon release by the collapse of cavities generated during irradiation, results in localized heating, induced pressure, and improved heat and mass transfer of the reactants [158]. As for microwaves, these are electromagnetic waves with frequencies from 0.3 to 300 GHz. Microwave irradiation results in high temperatures and pressure gradients within the system as a result of localized superheating via dipolar rotation and ionic conduction [157]. The localized superheating contributes to the thermal effects of microwaves, whereby improving the reaction rate and reducing the reaction time. However, microwave irradiation is also said to have non-thermal effects, although still not in consensus to its existence, which in some instances found to influence the activation energy of the reaction [159]. Extensive reviews on the application of ultrasonic [158] and microwave [159] irradiation in the synthesis or production of biodiesel have been published in 2017 and 2019, respectively. In view of their application in BD

production from RBL, it could be observed from Table 3 that compared to other conventional BD synthesis, ultrasound and microwave-assisted reactions required lower catalyst loading (< 1 wt%), and shorter reaction times (< 0.8 h) [157, 160, 161]. But unlike ultrasound-assisted reaction which has been adopted in ISTE [127], microwave irradiation has so far been only adopted in conventional (trans)esterification, which is found to be applicable for both closed [157] and open systems [160]. This may be because microwaves are less efficient when applied to systems containing solids [159]. Both ultrasound and microwave irradiation are said to be energy efficient owing to the mechanisms by which the energy is transferred to the reacting medium or species, minimizing unwanted heat loss through conduction and convection [158, 159]. Currently, these technologies are only done on a lab-scale and would be difficult to scale-up. In most studies reported, only the frequencies and power ratings are indicated. However, it is of importance during scale-up to maintain the effective and specific intensities of the irradiation applied to achieve the same performance. How these intensities could be expressed may vary from one system to another, as the quantity and type of material being exposed to, the area of exposure, and the geometry and position of the irradiation source may have to be taken into consideration.

### 3.5.2 Alkyl donor, co-solvents, and/or co-reactants

Like mixing, temperature, and irradiation, the addition of excess alkyl donors and co-solvents could shorten the reaction time by improving contact between reactants and shift the reaction equilibrium to achieve higher conversions or yields. However, excessive amounts of these materials in the system may result in lower productivity owing to a reduction in reaction rates, deactivation of certain catalysts, lesser amounts of lipids processed for a given reactor volume, and difficulties in product separation during downstream processing. Uniquely for biodiesel synthesis or production, the alkyl donor itself serves as the solvent for the reaction system, while other solvents added may be considered as co-solvents or co-reactants. Although there are different alkyl donors and co-solvents that have been explored for BD production, the discussions in this section will focus on those having been adopted for the processing of RBL.

**Alkyl donors** Short-chain alcohols are the most explored alkyl donor for BD production and are so far the only group of donors adopted in converting RBL to DB. These short-chain alcohols generally ranged from 1 to 4 carbons [88, 102, 150] or, rarely 5 carbons, such as n-pentanol claimed to have been used in a certain patent [162]. Although the use of other alkyl donors other than alcohols is possible, these have not been widely adopted or explored in converting RBL to BD and are discussed in a later section (Section 3.6.2). In view of alcohols, longer chain alcohols have better miscibility or

capacity to dissolve lipids but would tend to have higher viscosity and lower reactivity at a given temperature [29, 88]. Although methanol generally has higher reactivity than ethanol, when these are compared at temperatures near their boiling points, the yields are comparable with less alkyl donor required in the case of ethanol [90]. However, for lipase-catalyzed transesterification of RBL with alcohols, lipases tend to have affinity or preference for certain alcohols, with Novozym® 435 having better activity with methanol and ethanol, Lipozyme® TL-IM having a preference for ethanol, n-propanol, and n-butanol, and Lipozyme® RM-IM having an affinity for n-propanol and n-butanol, as observed in the study by Rodriguez et al. [102]. Among the different alcohols and alkyl donors, methanol and ethanol are the most widely explored or adopted alkyl donor in converting RBL to BD, with (trans)esterification with methanol generally requiring shorter reaction time (Tables 3, 4, 5, and 6).

**Co-solvents and co-reactants** Apart from increasing the chain length of the alkyl donor, co-solvents have also been employed to improve mutual miscibility between reactants to reduce mass transfer. In the conversion of RBL to BD, the use of co-solvent is more prominent in ISTE, and OSETE, as co-solvents also improve the extraction rate apart from improving solubility [14, 32, 129]. Strict co-solvents do not get involved in the reaction itself; however, there are solvents which do not only improve mutual solubility between the reactant and extractability of the lipids but also take part in the reactions, which may be directly or indirectly involved to produce FAAE [14, 31, 151]. Several co-solvents, which include n-hexane [101, 151], petroleum ether [32, 129], carbon dioxide [14, 31, 148, 151], chloroform [151], and/or co-reactants, such as ethyl acetate [151], iso-propanol [150], acetic acid [14, 150], and water [14, 31, 130], have also been explored in systems involving RBL. For lipase-catalyzed (trans)esterification, the use of non-polar co-solvents like n-hexane is favorable as it avoids the removal of moisture or water from the lipase, keeping its active high, but may at certain proportions result in phase separation which may prevent the contact between the reactants and the catalyst itself [33]. Unlike co-solvents, whose roles are well established in improving miscibility and extraction of the lipids, the complexity of co-reactants' role and the reactions involved are not well investigated. However, from isolated systems involving different co-reactants, the improvements in yield may include the reactants serving as alkyl donors (acetates and alcohols), breakdown of solid matrix in the case of ISTE involving water and acetic acid [163–165], and/or the hydrolysis and acidolysis of acylglycerides to the more reactive FFA [137, 139, 142]. In most cases, the addition of co-solvents, and/or co-reactants, improves the productivity by reducing the reaction time required to achieve the same yield, or the reaction yield for a given reaction time. However, an effective use of

co-solvent and co-reactants should not only be focused on the improvements in yields and shortening of reaction time but should also account for the overall solvent used and the relative ease of the subsequent separation steps as these would influence the overall productivity of the process.

### 3.5.3 Concentrations, solvent or alkyl donor loading, and catalyst loading

In the synthesis and production of FAAE, system concentrations could be viewed in various perspectives, such as solvent or alkyl donor loading, in terms of solvent-to-lipid ratio (SLR), solvent-to-solid ratio (SSR), and catalyst loading, which is dependent on various other factors including catalyst activity, morphology, and textural properties of the catalyst. In most cases, these concentrations are expressed relative to one of the reaction components, typically, the amount of oils or lipids.

Based on reaction stoichiometry, only 1 mole of alkyl donor is typically required for a mole of FFA and 3 moles for a mole of TG. To ensure that reactions are shifted forward, alkyl donors are often loaded in excess. In view of catalyst types used, enzyme-catalyzed systems typically require the least SLR and SSR (near stoichiometric requirements), followed by base-catalyzed, and then acid-catalyzed systems (Tables 3, 4, 5, and 6), while systems employing heterogeneous catalyst require higher SLR than those using a homogeneous catalyst (Table 3). Although higher SSR or SLR typically leads to better yields and conversions, the productivity of the reaction decreases for a given reactor volume and would require longer time and pose difficulties for later downstream processing. Moreover, excess SLR or SSR also results in a dilution effect, slowing down the overall reaction rate [16, 150]. From the perspective of reaction kinetics, assuming that the esterification and transesterification reactions involved are elementary reactions, these could be taken as a second-order reaction, but as one of the reactants is supplied in much greater excess, the reaction order may shift to a first-order reaction.

Dilution does not only influence the reaction order but also the effective concentration of other components. Even if the ratio of certain components relative to the available lipid may be kept the same while another certain reaction component is varied, the overall concentration relative to the entire mixture is unavoidably changed. For instance, the increase in SLR or SSR at fixed catalyst loading relative to the available lipids inevitably dilutes the concentration of the catalyst concentration in the overall mixture. The effective concentrations of the components involved significantly influence the overall reaction rate, and thus affect the productivity and pose difficulties in having a fair or objective comparison between reaction systems. In view of catalyst loading or concentration, higher catalyst loading is generally beneficial to the process but beyond certain optimum, it may not be economical and may also cause problems in later downstream processing resulting in



losses in the product as excess bases may result in saponification, while excess acid could result in unwanted hydrolysis of the BD produced. Perhaps not applicable for homogenous catalysts, excessive loading of heterogeneous catalysts may result in mass transfer limitation, thereby slowing down the reaction rate. As have been mentioned, most of the factors influencing the productivity of BD synthesis from RBL are interdependent and among them, temperature, solvent loading, and catalyst loading are the factors which closely affect one another having interaction effects, and are thus the factor mainly varied in the production of BD from RB.

### 3.5.4 Pressure, space loading, and reactor loading

Unlike reactions involving gaseous reactant, operating pressure is in most cases not a factor for (trans)esterification or in the conversion of RBL to BD, except when a gaseous co-solvent like CO<sub>2</sub> is used or when reactions are carried out under sub-/supercritical conditions of at least one of the reactants. At a given temperature and system composition, the system pressure is indicative of the volume of the gaseous component in the system and the fraction of such components along with other components that are in the liquid phase. In the study by Yasmin et al. [152], increasing the system pressure to 10 MPa with CO<sub>2</sub> resulted in improved yields of up to 98% as compared to systems pressurized only at 4 MPa, which had a FAME yield of 35%, after 3 h of reaction time at a reaction temperature of 200 °C with rice bran to methanol to water ratio of 1 g:4 cm<sup>3</sup>:4 cm<sup>3</sup>. Apart from the known improvement in solubility brought about by the presence of supercritical CO<sub>2</sub>, the improvement in FAME yield was also attributed to the more acidic reaction medium as CO<sub>2</sub> is solubilized in water. Generally, higher pressures mean that more CO<sub>2</sub> was being introduced into the system; therefore, for such complex systems involving various components, it would be critical to determine the actual quantity of carbon dioxide introduced to allow scale-up of the process. Furthermore, complexity and difficulties in estimating such quantity arise particularly when the available headspace of the reactor is not provided and whether the introduction of CO<sub>2</sub> was done before the system was heated up or after the system was heated up.

Aside from the introduction of gaseous components, the increase in system pressure may result from the expansion of the components involved in the reaction, particularly the alkyl donor and liquid co-solvents, and/or co-reactants added. For flow reactors, the pressure is regulated with pressure and back-pressure regulators along with changes in the system flow rates. However, for batch reactors, the available headspace and quantity of materials in the reactor influence the induced system pressure. In a review of ISTE by Go et al. [124], the influence of space and reactor loading was emphasized to be most relevant for (trans)esterification under sub-/supercritical conditions. The concept of space loading was

introduced by Lim and Lee [124] when intensifying the supercritical ISTE of lipids in *Jatropha curcas* kernels, with the space loading defined as the ratio of reactor volume to that of the mass of biomass loaded. In separate occasions, Go et al. [142, 143] introduced a similar concept but considered the fraction of the reactor volume initially occupied by the reacting mixture, referring to it as the reactor loading, for either subcritical ISTE [143] or (trans)esterification of extracted lipids [142]. Both concepts are used to describe the effective use of the reactor's volume, the available space for the reactants to expand, and indirectly indicate the amount of reactants in the vapor and liquid phases [124]. In the subcritical ISTE of post-hydrolysis RB, Sutanto et al. [16] indicated that at a fixed SSR increasing the reactor loading improves the FAME yield but has tendencies to decrease beyond an optimum. An increase in reactor loading at a fixed SSR translates to more alkyl donor in the liquid phase which pushes the reaction forward, but could also result in dilution effects brought about by the excessive quantities of the alkyl donor or solvent as previously discussed.

### 3.5.5 Reactor type, mode of operation, and configuration

Like most reactions, the (trans)esterification of RBL can be carried out using basic reactor types either stirred-tank or tubular reactors operated in batch or continuous mode. However, ISTE or OSETTE are generally carried out in batch reactors, with these types of reactions carried out under sub- or supercritical conditions having the possibility of avoiding the need for stirring. Some notable reactor design, configuration, and operational mode that have been adopted in the (trans)esterification of RBL include packed-bed reactors [103, 110], and microreactors [150], operated in continuous mode. These continuous-flow reactors avoid the additional processing time for filling and discharging of materials into and out of the reactor and typically require smaller volumes. Packed-bed reactors for heterogeneous catalysts like immobilized enzymes [103] or ion-exchange resins [110] are characterized by the higher overall catalyst loading but may have the advantage of separating the catalyst from the reaction products, and the removal of by-products (glycerol and residual free fatty acids) as these are adsorbed onto the catalyst matrix. As for microreactors, although not explicitly discussed, the required ethanol-to-oil of 5:1 [150] is lesser as compared to the required methanol-to-oil ratio of ~6 to 10 for typical tubular reactors [137]. For reactants having limited miscibility or inter solubility, the use of microreactors provides the advantage of increasing the effective contact between the two phases, reducing the required excess reactants. In general, the effective use of reactor configuration and operational modes in combination with certain RBL (trans)esterification process or synthesis routes may result in improved productivities by minimizing or avoiding the need



for process start-up time, product separation and purification, and the possibility of minimizing the use of excess reactants and auxiliaries.

### 3.5.6 Kinetics and thermodynamics

Kinetics and thermodynamic evaluations are means of evaluation to provide a more objective comparison between reacting systems. These are not factors affecting productivity but rather properties of a reacting system which may limit the achievable reaction yield. For reactions involved in BD synthesis, these are generally substitution reactions which are in principle reversible and have reaction orders ( $n$ ) greater than 1. However, given that alkyl donors are often supplied in excess, the reactions are often assumed to be of the first-order and irreversible [120, 155]. Moreover, kinetics are often assessed at mixing conditions where mass transfer limitations are assumed to be negligible, allowing these reactions to be taken as pseudo-homogeneous reactions [156]. Although kinetic and thermodynamic parameters may take into account the influences of different variables, it is not without limitations and is also limited to the assumption made when coming up with the model.

In the transesterification of RRBO with methanol and ethanol using a homogeneous catalyst (NaOH) under microwave irradiation [157], it was found that the activation energy ( $E_a$ ) and frequency factor ( $A_0$ ) required for the reaction with ethanol ( $E_a = 5.9 \text{ kJ}\cdot\text{mol}^{-1}$ ,  $A_0 = 0.0388 \text{ s}^{-1}$ ) are higher and lower, respectively, than that of methanol ( $E_a = 4.1 \text{ kJ}\cdot\text{mol}^{-1}$ ,  $A_0 = 0.0251 \text{ s}^{-1}$ ). These quantities provide quantitative support to the claim that methanol is more reactive than ethanol under the conditions (60 to 80 °C) investigated. However, kinetic experiments were carried out with fixed and higher alcohol to oil ratio for reactions with ethanol (9:1) than methanol (5:1) with catalyst loading at 0.15–0.18 wt% with respect to the RRBO. In a separate work dealing with a similar reaction but for a continuous reactor system, the ethanol to oil ratio employed was 5:1 with a catalyst loading of 0.6 wt%, the estimated kinetic parameters are as follows:  $E_a = 6.3 \text{ kJ}\cdot\text{mol}^{-1}$ , and  $A_0 = 0.7228 \text{ s}^{-1}$  [160]. The higher frequency factor indicates the faster reaction rate that is achieved with higher catalyst loading.

In a different study, employing heterogeneous catalyst (CaO) for transesterification of RRBO, Sreedhar and Kishan [155] estimated the kinetic parameters to be  $E_a = 25.7 \text{ kJ}\cdot\text{mol}^{-1}$ , and  $A_0 = 86.091 \text{ min}^{-1}$  ( $1.4349 \text{ s}^{-1}$ ), at an ethanol to oil ratio of 9:1 and catalyst loading of 3 wt.% over a reaction temperature of 50 to 70 °C. Although the reaction employed heterogeneous catalyst, the authors have proved that internal mass transfer could be neglected by determining the Thiele modulus to be less than 0.4, and thus, modeling the system to be a pseudo homogeneous first-order reaction. Because a different catalyst was used, the reaction pathway is expected to

be different and is indicated by the large difference in the determined activation energy. In view of esterification of FFA in high acid value RBL, there are also limited works which dealt with the reaction kinetics in detail. Esterification of RBL with acid value of 24 mg KOH·g<sup>-1</sup> with H<sub>2</sub>SO<sub>4</sub> as catalyst, a model assuming pseudo-homogeneous first-order forward reaction and second-order reverse reaction was adopted [19], with kinetic parameters of the forward reaction being  $E_a = 23.21 \text{ kJ}\cdot\text{mol}^{-1}$ , and  $A_0 = 32.65 \text{ min}^{-1}$  ( $0.5442 \text{ s}^{-1}$ ), and the reverse reaction having a higher activation energy 42.16 kJ·mol<sup>-1</sup>. Furthermore, the equilibrium reaction was assessed to be endothermic and non-spontaneous. Other high acid value RBL systems were investigated of their esterification kinetics employing heterogeneous catalyst, Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> [120], and 12-tungstophosphoric acid [123]. However, the kinetic parameters reported were not complete.

Generally, detailed kinetic studies for the synthesis of RBL to BD have been limited. The absence of detailed kinetic parameters may not be a hindrance to scaling up batch systems, but converting to other reactor configuration may be difficult. In the investigation and determination of kinetic parameters, a large difference in fatty acid composition also influenced the observed kinetic parameters [157]. This makes the direct comparison of improved processes dealing with RBL with conventional or base-case processes employing other lipids not practical and using kinetic parameters from other lipids for reactor design not possible. Most kinetic models do not account for the catalyst loaded and the amount of alcohol to lipid ratio. Moreover, some models have been oversimplified, which might have missed capturing the actual interactions of the reacting species and provide poor insights for the system being evaluated. In addition, modeling and determination of kinetic parameters have been limited to conventional homogeneous, and heterogeneous processes, and only for either the esterification or transesterification. Kinetics of catalyst deactivation for heterogeneous catalysts are also of importance. Unfortunately, it has so far only been taken into account for Lipozyme® TL-IM catalyst in the (trans)esterification of high acid value RBL [103].

### 3.6 Patented and emerging processing routes and technologies

Apart from those published in academic journals, there are technological developments and advancements, which have been patented. A review of the patent landscape on the technologies for biodiesel production has been published in 2020 by Mahlia et al. [166]. In this section, patents specifically for processing RBL to BD will be discussed along with technologies or processes applicable for RBL but have not been explored in actual converting RBL to DB. Moreover, novel approaches in the maximized utilization of RB components as RBL is converted to DB are also looked into.

### 3.6.1 Patented technologies

A recent review of the patented technologies for biodiesel production has indicated that there are about 1660 related patents from the period between January 1999 and July 2018 [166]. However, patents involving the conversion of RBL to DB are quite limited in comparison. In 2005, a patent involving the use of a base catalyst and relatively pure oils having moisture content of 0.5 wt% have been disclosed and could be adopted for use in the processing of RBO [166]. The said patent emphasizes carrying out the reaction at temperatures above the boiling point of the alcohol used but not exceeding 215 °C and under turbulent regimes of mixing (Reynolds Number > 4000). Furthermore, the said patent also emphasizes the purification and separation steps adopting bubble washing and microfiltration, respectively, with the entire process accomplished within a period of 50 h. A related patent for the processing of non-edible oils containing FFA has been disclosed in 2006, which involves a one-pot process but with 2 sequential steps, the first being acid-catalyzed esterification, and the second step is a base-catalyzed transesterification [167]. The emphasis of the patent is on the addition of a water scavenger or water adsorbent during the esterification step and with the excess base of the second step neutralizing the acid catalyst from the esterification step to have the entire synthesis carried out in one-pot. Since RBL or RBO has fatty acids very similar to other plant-based lipids or oils, the processes involved are often similar; however, there are also processes that have been uniquely developed for processing RBL to DB.

**ISTE via screw press and post-2-step acid-base (trans)esterification** Although developed by Cantazani [168] for whole beans of castor oil plant, cottonseed, physic nut, or rice bran powder and perhaps applicable to other oil-containing materials, the use of a solvent assisted screw press extraction may be advantageous for processing RB considering its lipid content. The disclosed patent by Cantazani [168] involves the use of anhydrous ethanol as an extracting agent and the addition of sulfuric acid to facilitate ISTE even during the oil extraction step. Generally, RBL is extracted and simultaneously (trans)esterified using a screw press aided with an acidified anhydrous ethanol, and to ensure the lipids are completely converted, the extracted material is passed through a series of piston-cylinder reactors, which allowed acid-catalyzed esterification and base-catalyzed transesterification to further occur. As part of the claims in the said patent, the process can co-produce chemically enhanced organic fertilizers and that the process is feasibly designed for small-scale at 3 million gallons (~11,356 m<sup>3</sup>) of biodiesel per year.

**Sulfonic acid-functionalized quaternary ammonium salt as a reusable catalyst** Another one-pot process patented for the

processing of RBO containing FFA by Lin et al. [169] was filed and granted in China, where an ionic liquid (IL) is used as a catalyst. The said patent uses a quaternary ammonium salt functionalized with sulfonic acid as a catalyst for the (trans)esterification process, which has the advantage of being less corrosive and reusable. The said process is carried out at temperatures between 100 and 130 °C for 1–3 h with the molar ratio of RBO:Methanol:IL at 1:6–15:0.06–0.15. The described process could be adopted for RBO having an acid value of 1.5–107.36 mg KOH·g<sup>-1</sup>.

**Indigenous lipase in RB for ISTE** Earlier works have made an emphasis on the observed hydrolytic activity of indigenous lipases found in RB [29, 30, 124], which causes the RBL to have high FFA during storage. The use of indigenous lipase in RB has been mentioned in a patent disclosed for the lipase-catalyzed conversion of beef tallow to BD in 2008 [170]. A unique and innovative means is implementing ISTE of RBL and taking advantage of the inherently available lipases. The patent was granted to Korean authors led by In-Hwan Kim in 2016 [171] and a related research article was published later in 2018 [172]. In the said technology, indigenous lipase in RB can be used to catalyze (trans)esterification by simply adding methanol to RB and allowing the reaction to proceed, or by utilizing the delipidated RB as a catalyst for the (trans)esterification of other oils, which could be reused up to 10 times. The ISTE process was found best at 40 °C with RB having a moisture content of 12 wt% and a methanol-to-lipid molar ratio of 6 over a reaction period of 12 days [172]. After a 12-day reaction cycle, only ~83% of FAME in the product is achieved, requiring the obtained products to be further (trans)esterified with dried spent RB for another 6 days at the same methanol loading and temperature as the first cycle to achieve complete conversion, with a product containing at least 95% FAME. Although the process is unique and may allow savings in terms of raw materials used, its productivity may be a bottleneck for actual industrialization.

### 3.6.2 Other alkyl donors and glycerol-free processes

The synthesis or production of BD from RBL reported in the literature has so far only adopted the use of short-chain alcohols as the alkyl donor and mainly reacted with the FA source to produce FFAE. There have been many strategies adopted in the production of BD or BD-like fuels over the years, which have been tested with plant-based lipids, widely used in the food industry like those from soybean, rapeseed, and sunflower, as well as in waste/used cooking oil, with the main goal of reducing or avoiding the generation of glycerol, while at the same time producing by-products of higher value or avoiding the need for purification after the reaction. Reviews on these synthesis routes have been written over the years, with the ones written by Calero et al. [173], Okoye and Hameed

[174], and Esteves et al. [175] being the notable ones published in 2015, 2016, and 2019, respectively.

Among the different alkyl donors introduced aside from alcohols are short-chain carboxylates and alkyl carbonates. Among the different carboxylates, methyl acetate is the most widely used for interesterification with the AGs to produce FAME and triacetin in the presence of a strong acid catalyst [176]. Compared to glycerol as a by-product, triacetin need not require further separation and could be used along with the FAME as a biofuel. Such process has been patented and the fuel mixture named Gliperol® [176, 177]. In the presence of FFA, methyl acetate may undergo acidolysis and generate FAME with acetic acid as a by-product, which could easily be separated via distillation [134]. As for the use of alkyl carbonates, dimethyl carbonate has been favorably explored, with by-products being fatty acid glycerol carbonates (FAGC), glycerol carbonate, and glycerol dicarbonate. The BD-like fuel mixture referred to as DMC-BioD® was patented with the process originally using sodium methoxide as catalyst and AGs as the main FA source [178, 179]. In the presence of FFA, glyoxal is produced as a by-product, which is said to be an industrially important precursor for many high-value derivatives [138]. Both methyl acetate and dimethyl carbonate were also successfully used as alkyl donor in a catalyst-free process under supercritical conditions [134, 138, 180]. There are two main purposes in adopting these alkyl donors and these are to minimize the need to separate the by-products, or if by-products generated are to be separated, these should be of better economic value than glycerol.

In view of completely avoiding the generation of glycerol and the need to extensively purify the resulting reaction products, other approaches have also been considered. To further ensure the greenness of the process, renewable reaction components, plant-based TGs, ethanol, and 1,3-regiospecific porcine pancreatic lipase (PPL) are used during alcoholysis or partial transesterification, with the resulting products being fatty acid ethyl ester (FAEE) and monoglycerides (MG). The process in producing such fuel mixture (Ecodiesel®) was originally developed and patented using either free or immobilized PPL [181, 182], but was later also produced via kinetically controlled base-catalyzed reactions [175]. Another perspective is the use of existing glycerol generated from existing BD industrial plants to produce MG and subsequently reacted with dimethoxymethane (DMM), to produce fatty acid formal glycerol esters (FAGE) and methanol, which could further react to produce FAME and glycerol formal (GF). The complex chain reactions occur in a one-pot process using an acid catalyst and are the latest addition to the patented process which avoids the generation of glycerol as a by-product [183–186]. All these different BD-like fuel mixtures have been found to exhibit fuel properties similar to that of conventional BD and in most cases having better cold-flow properties while minimizing emissions when burned as fuel [134, 175, 182, 186]. Despite the

developments and patents files as early as 2004, the use of these alkyl donors and approaches is not common in converting RBL to BD or BD-like fuel mixtures.

### 3.6.3 Maximized utilization of RBL lipids and components or bio-refinery approaches

The maximized use of biomass and its components as a feedstock has long been practiced to maximize the greenness of the process and minimize the generation of waste. Earlier studies and reviews have focused on the recovery of bioactive components [17, 18, 94, 187] and proteins [188]. The following technologies that will be discussed will focus on those developed in recent years with the focus of primarily producing biodiesel and at the same time maximize the utilization of other RB components, specifically carbohydrates/sugars, likening a bio-refinery approach.

**One-pot synthesis of FFAE with reducing sugars/ethyl levulinate** Treatment of biomass with water under subcritical condition was initially explored to release extractable and bound lipids from various biomass including activated sludge and yeast [165], sunflower and *Datura stramonium* seeds [164], and *Jatropha curcas* [144]. In the process of adopting such pretreatment, sugar-rich hydrolysates are generated and wet lipid-dense biomass could be subjected to ISTE with solvents under subcritical conditions and CO<sub>2</sub> as co-solvent [144, 146]. A very similar approach was adopted by Zullaikah et al. [31, 130, 153] and Yasmin et al. [152] to carry out ISTE of RBL in the presence of subcritical water and methanol with CO<sub>2</sub> as co-solvent to cogenerate hydrolysates containing reducing sugars. At a reaction temperature of 200 °C, an SSR of 8 cm<sup>3</sup>·g<sup>-1</sup> (methanol:water = 2–3 v:v), with the reaction system pressurized to 4–10 MPa with CO<sub>2</sub>, and allowed to react for 2 to 7 h, a FAME yield of 65–98% relative to the available lipids could be achieved along with sugars dissolved in the aqueous phase (0.6–0.8 g·L<sup>-1</sup>) [31, 153]. The lower sugar concentrations obtained at such conditions were attributed to the degradation of sugars to furans [31]. However, the relatively low concentrations are also influenced by the quantity of water added in the reaction and the latter washing step. Although high yields of BD could be achieved, the extent of the hydrolyzed sugars could not be assessed since the volume of hydrolysate or aqueous phase is not reported.

The use of ethanol as the alkyl donor under similar conditions has also been explored, but intending to concurrently produce ethyl levulinate (EL) from the dehydration of available sugars and subsequent esterification, as an additive for the BD produced [151]. The said process was reported to achieve FAME and EL yields of 78% and 10.9% relative to the maximum FAME, respectively, at 160 °C with 6.4 cm<sup>3</sup> ethanol and 1.6 cm<sup>3</sup> water per 1 g of RB and reacted for 2 h under CO<sub>2</sub> atmosphere of 8 MPa. However, under such

conditions, the purity of the product is only ~61 wt% in terms of FAME and ~8.5 wt% for EL, summing up < 70 wt% of biofuel. Although these approaches allow multiple products to be produced altogether, it may require a more complex process to further separate products before these could be used. Nevertheless, these approaches may be further developed while taking into consideration not only the extent of product generation but overall, the effective conversion of raw materials to the desired product and the ease of their subsequent downstream processes.

#### Dilute acid hydrolysis of RB in the generation of raw materials for biofuels

Apart from lipids, RB is rich in sugar, either in the form of starch, cellulose, or hemicellulose, which could constitute between 37 and 48 wt% of RB, depending on the RB fraction [33, 189]. The lipid content of most RB does not go beyond 20 wt%, which is considerably low when compared to oilseeds and oleaginous microorganisms [124]. On the extremes, white RB (WRB), the innermost layer of RB, contains lipids as low as ~8 wt% but could be processed to obtain residues containing lipids over 20 wt% as have been reported in the study by Li et al. [33]. In the said study, WRB with lipid content of 8.43 wt% was subjected to enzymatic hydrolysis and yielded 0.45 kg glucose (~93% recovery) and 0.3 kg residue per kg of WRB processed. The said residue contained 29.31 wt% lipids, which is higher than typical RB and translates to a lipid recovery or retention of ~104%. Although no details were provided, the recovery or retention of lipids over what was originally extractable may be attributed to the release of structurally bound lipids. Adopting the same concept of removing structural components, while leaving behind the lipids via hydrolysis, Sutanto et al. [15, 16, 189] has also demonstrated the possibility of using dilute sulfuric acid (< 4 vol.%) solution as the hydrolyzing medium. Subjecting RB to dilute acid hydrolysis (DAH), most (~92%) of the structural sugars were converted into reducing sugars and yielding hydrolysates containing reducing sugars at ~52 g·L<sup>-1</sup> and 0.4 kg of residue per kg of RB processed [189]. The said residue was reported to contain 52.22 wt% lipids, which translates to a lipid recovery/retention of ~103%. This time around, Sutanto et al. [189] also provided a detailed investigation of the additional lipid released and suggested that these were indeed from structurally bound lipids. The DAH process typically requires dilute acid containing 2 to 3 vol.% H<sub>2</sub>SO<sub>4</sub>, SSR of 8 cm<sup>3</sup>·g<sup>-1</sup> (relative to the available lipid-free biomass), and subjected to hydrolysis at 95 °C for 3 to 6 h [15, 16, 189]. Through the hydrolysis of RB, not only is it possible to produce hydrolysates rich in sugar but also residues that are lipid-dense (Fig. 5c).

On different occasions, hydrolysates from DAH of non-delipidated RB was demonstrated to be useful as a substrate for fermentation and has been specifically used in the cultivation of *Yarrowia lipolytica* [189] and

*Lypomyces starkii* [15] for lipid accumulation to also serve as feedstock for biodiesel production. Taking it a step further, Sutanto et al. [15, 16] have preliminarily investigated the use of the post-hydrolyzed rice bran (PHRB) as raw material for ISTE. The authors have observed that the residues were not only lipid-dense but also had catalytic activity as previously discussed (Section 3.4.3) and allows high FAME yields to be achieved in a relatively short reaction time and non-isothermally. To better understand the advantages brought about by the DAH of non-delipidated RB, Go et al. [190] have looked into the kinetics of lipid extraction from PHRB with n-hexane as solvent. The authors were not only able to model the extraction kinetics but provided insights on the possible ease and more rapid extraction of the lipids from PHRB than from the native RB with solvent savings of up to 80%. In certain cases, processes involving multiple steps offer the advantage of obtaining distinct products and avoid complex separation processes. Pretreatment processes like DAH may at first glance entail additional cost owing to the additional steps introduced; however, it brings about value-added product(s) and eases the subsequent processing steps.

### 3.7 Foreseen impacts, challenges, and researchable gaps

**Economical impact** Rice bran is an inevitable agro-industrial waste with a reliable availability and has been a source of continuous interest due to its potential applications, particularly in biodiesel production. Several technological advancements have prospered over the years exploring various materials and process routes and conditions demonstrating BD production from RBL. Utilization of RBL as feedstock could possibly ease one of the hurdles limiting the use of BD, which is its economic feasibility. In a study by Haas et al. [191], they mentioned that 88% of the BD production cost is attributed to its raw material cost. This is also demonstrated by the Southeastern countries producing biodiesel from edible oil as reflected in the raw material to biodiesel cost ratio in Table 7. Atadashi et al. [192] mentioned that a potential of 25% can be reduced from the production cost by opting for a less premium feedstock. The utilization of RB could minimize or eliminate the gap between BD and PD cost, adding to the environmental motivation of BD consumption. With RB as a low-cost resource, this could mean that regions having access to such possibly could lower BD cost to support blending targets and improve the region's buying power by generating high-value by-products from RBL. However, detailed operations research on the collection and transport of RB from rice mills and technoeconomic analysis between different



**Table 7** Cost of feedstock, biodiesel, and petroleum diesel based on available market data for 2018 from the respective country's biofuel GAIN reports [28].

Country	Feedstock	Raw Material Price		Biodiesel Price		Petroleum Diesel Price		Raw Material to Biodiesel Price Ratio	Biodiesel to Diesel Price Ratio
		Cost	US\$/kg <sup>a</sup>	Cost/L	US\$/L <sup>a</sup>	Cots/L	US\$/L <sup>a</sup>		
<i>Indonesia</i>	Crude Palm Oil	7482±634 IDR/kg	0.53 ± 0.04	7740±509 IDR/L	0.55 ± 0.04	5,150 IDR/L	0.36	0.97	1.28 – 1.62
<i>Malaysia</i>	Crude Palm Oil	2.33±0.28 RM/kg	0.57 ± 0.07	2.83±0.16 RM/L	0.69 ± 0.04	2.30±0.14 RM/L	0.56 ± 0.03	0.82	1.23
<i>Philippines</i>	Coconut Oil	37.70±1.67 PHP/kg	0.78 ± 0.03	46 – 70 PHP/L	0.95 – 1.45	48.35 PHP/L	1.00	0.54 – 0.81	0.95 – 1.45
<i>Thailand</i>	Refined/Crude Palm Oil	19.57 THB/L	0.64	23 – 28 THB/L	0.76 – 0.93	23 – 32 THB/L	0.76 – 1.06	0.70 – 85	0.72 – 1.22

<sup>a</sup> Conversion rates: 1 US\$ = 14, 139 IDR = 4.12 RM = 48.18 PHP = 30.18 THB

processes to produce BD from RB would have to be done to better grasp the practical extent of such potential resource.

**Social and environmental impact** Other advantages of utilizing RBL production for BD are its lesser negative impact to the food versus fuel dilemma, and lower dependency on PD producing countries. Coincidentally and fortunately, regions with potential RB available for BD production are fervent users of BD with structured government mandates for blending except for China, Japan, and Bangladesh. Particularly, Wakil et al. [193] demonstrated the use of RB for BD production in their study and advocates it due to year-round cultivation which could optimistically significantly contribute to their diesel demand without competition in land use. In addition, Moreover, Subramaniam et al. [194] argues that usage of biofuels will also promote an increase in food production as an indirect result of better environmental quality in the long run. However, the actual use of biodiesel as fuel has been reported to have a pollutant profile with increased NO<sub>x</sub> and aldehydes compared to PD, but lower CO, CO<sub>2</sub>, and particulate matter [195]. Despite the number of different researches made related to diesel engine performance when using BD, there has been no consensus as to the most appropriate engine operating configuration when adopting neat BD and its blends. Nevertheless, what is generally accepted is the fact that reduction in these emission could further be improved with proper configuration of engine operating conditions with blending of RB BD kept at 20 vol.% [24].

**Government policies** As with other novel undertakings which are aimed to be implemented and implanted, government programs and policies involving farmers and BD producers, customers and consumers must be in place. As a reference, the Brazilian government has a national program (PNPB) for biodiesel to ensure end-to-end stability of the entire biodiesel supply chain, from oilseed producers to biodiesel consumers through blending mandates [196, 197]. This program was designed to encourage small farmers to participate through ensuring oil sales by granting biodiesel producers fiscal benefits such as tax benefits, and favorable bank rates. This program also emphasizes diversification of feedstock sources and provides technical assistances to farmers. In the context of Asian nations, as the regions of interest for RB as feedstock to BD, blending mandates, and government support and subsidies are in place [198]; however, performance trending shows that they seem to fall short of their national targets [58]. Kumar et al. [199] stresses the need to expand biofuel feedstock from first generation to second generation for realization of blending targets, alongside necessary policy interventions. Amendments in the existing policies would have to include the establishment of support and infrastructures for rice farmers, on top of oilseed farmers, and take care of the tension



that might occur due to the existing usage of RB such as livestock feed.

**Technical challenges and gaps** The Asian regions and countries identified having the potential to utilize RB for BD production must first investigate the local quality ranges of their RB and its lipids, since the lipid content and quality largely depends on the PR variety and milling process undertaken. Technologically, the best choice for the production system to adopt depends on the local availability of materials, reactants and auxiliaries, and appropriate reaction systems apt to the desired production volume. However, a risk in process reliability is RBL's time-dependent deterioration of feedstock quality which will result to variable process requirements. This might also result to a risk in high production cost for a commodity, primarily considering flexibility. As demonstrated in the different researches discussed in previous sections, FFA content is a key factor in BD yields and process complexity, and its variability with time makes it more challenging. Because existing BD processes are conventional type employing homogeneous base as a catalyst and refined oils as feedstock, they could not be easily extended to the direct processing of RBL.

As with typical lipid processing, solvent extraction might not be the most appealing first step, as demonstrated by the recently patented technologies, where ISTE systems have been chosen. This would also lift the requirement for large volumes of extracting solvent, and solvent recovery systems, agreeing to sustainability goals. A researchable area in this respect is the appropriate catalyst to be loaded to increase its challenge in low yields. Homogeneous catalysts, although the most convenient to use, also have an issue in wastewater generation. Immobilized enzymes are environmentally benign, require less alcohol, and milder operating conditions, but they have not yet been reported to be used in OSETE systems. Instead of choosing a catalyst, super or subcritical systems could be selected. In this respect, a lot of researchable areas arise including the kinetic, and equilibrium data, reactor loading, as well as process control to address the issue on low purity due to degradation. Another option for this system is the addition of co-reactants, such as acetic acid, as demonstrated for subcritical system, however, knowledge on its actual role in the reaction is still not well understood. It should also be noted that the low purity in obtained product during ISTE may also be owing to the co-extraction of unsaponifiable components like oryzanol, which may serve as an antioxidizing agent in the final biodiesel product.

Over the years, it has been demonstrated by various researchers that RBL could be transformed into biodiesel in several systems, starting with rice bran lipids subjected to conventional reaction system at ambient pressure, in situ system with rice bran biomass directly fed, and with these subjected to either supercritical and subcritical conditions

of alcohols. Various reaction and process elements have been explored to improve yields and operational parameters such as reactants and catalysts and their concentrations, and reactor configurations. However, there has been no consensus as to how to best compare and report performance responses, which would require detailed reporting of process conditions and further analysis based on quantitative metrics. Finally, for complete valorization of RB, another valid process option is its maximum utilization into several products. This can include isolation of its antioxidants for nutraceuticals, or co-production of bioethanol after employing DAH as pretreatment. As previously mentioned, these processes must be locally assessed at a reasonable scale for more conclusive process data useful in optimizing parameters and sustainability assessment.

## 4 Conclusions and recommendations

One of the hurdles in the biodiesel industry is raw material cost, which could be addressed by utilizing oleaginous agro-industrial wastes. Rice bran has proven to be a valuable potential feedstock owing to its high lipid content (15–25 wt%) and is widely available in Asia. Eastern, Southern, and Southeastern Asia are found to have the largest production potential with about 2 million m<sup>3</sup> annually for each region, which could contribute to at least 60% of the current biodiesel production in Asia. The use of RBL-derived BD could help reduce dependence on foreign fuel supply and use of petroleum diesel in Asia. Many RBL processing and subsequent synthesis routes to BD exist, each having their advantages and limitations, and has been reviewed in detail in this work. If RBL is to be extracted first, solvent extraction is generally better than mechanical extraction in terms of recovery. The use of enzymes or biomass-based catalyst and/or with sub- and supercritical solvent reaction technologies are promising greener processing routes and alternatives to conventional acid and base-catalyzed processes. In the direct processing of RBL in RB, ISTE with appropriate pre-processing like dilute acid hydrolysis (DAH) is a good core step in an integrated process for RB-BD and co-product synthesis. Like another chemical reactions, conversion of RBL to BD is greatly influenced by the process temperature, concentration of reacting components (reactants, catalysts, and co-solvents), quality of mixing as induced mechanical action, irradiation and/or improving reactant inter-miscibility, and reactor type, configurations and operation, which at the same time are to some extent interdependent and are influenced by one another. Variabilities in RB and RBL composition require detailed kinetic and equilibrium data must be collected at an appropriate scale and reaction scheme to better design a robust reaction process system. To move forward with the utilization of RB for BD, it would be necessary to have preliminary characterization RB for a given locality to better

understand its quality and the technology to be adopted, followed by a conduct of a techno-economic and environmental evaluation of the promising technologies at a valid scale for a more conclusive assessment. In parallel, strategies and possible infrastructures required in the efficient collection and transport of RB generated in each locality should be carefully studied. Countries should also reevaluate their biodiesel mandates, roadmaps, and their action plans on propagation of diversified feedstocks to bridge towards targets and incorporate the use of RB as a resource or feedstock.

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*Kristelle L. Quijote*—writing original draft, writing-review and editing, formal analysis, conceptualization, methodology, visualization.

*Ramelito C. Agapay*—writing original draft, writing-review and editing, formal analysis.

*Yi-Hsu Ju*—writing-review and editing, conceptualization, resources, supervision.

*Artik Elisa Angkawijaya*—writing-review and editing, resources.

*Shella Permatasari Santoso*—writing-review and editing.

## Declarations

**Conflict of interest** The authors declare no competing interests.

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